



 **ORIGINAL**

City of Naperville

CONTRACT

RFP 18-041

Residential Refuse Waste and Recycling Collection Program

**Kimberly Schmidt
Procurement Manager
630-420-4162
schmidtk@naperville.il.us**

AGREEMENT FOR COLLECTION OF REFUSE, RECYCLING,

LANDSCAPE WASTE, AND OTHER WASTE MATERIALS

PER RFP 18-041

BETWEEN THE CITY OF NAPERVILLE AND

GROOT INDUSTRIES, INC.

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THIS AGREEMENT is entered this 10th day of December 2018 by and between the City of Naperville, an Illinois Municipal Corporation and home rule unit of local government under the Constitution and laws of the State of Illinois, with its principal office located at 400 South Eagle Street, Naperville, Illinois 60540 (hereinafter the "City") and Groot Industries, Inc., with offices at 1565 Aurora Avenue Ln., Aurora, Illinois 60506 (hereinafter the "Contractor").

RECITALS

WHEREAS, the City of Naperville ("City") issued Request for Proposal ("RFP") #18-041 for the Residential collection of Refuse, Recycling, and Landscape Waste throughout the City, for the collection of Refuse and Recycling from City of Naperville facilities, and for collection of recycling and refuse during Rib Fest and the Last Fling; and

WHEREAS, the RFP also includes a provision for operation of Recycling Drop-off Center at a location provided by the City at the Naperville Public Works Service Center located at 180 Fort Hill Drive and for collection of electronic waste; and

WHEREAS, the Contractor responded to the RFP and is willing to provide the services described herein in a safe, well-scheduled, well-executed, courteous and cutting-edge manner; and

NOW THEREFORE, in consideration of the premises and mutual covenants contained in this Agreement, the parties agree as follows:

ARTICLE 1 **DEFINITIONS**

1.1 For the purposes of this Agreement, the following words and phrases shall have the meanings specified in this Section.

Agreement	This Agreement and all Appendices hereto. The Contractor's response to RFP #18-041 for the Services described herein is made part of this Agreement by reference as though fully incorporated herein. In the event of a conflict in terms between the response to the RFP and the remaining terms of this Agreement, this Agreement shall control.
City Owned Facilities	The facilities listed in Appendix "A" and other facilities added to Appendix "A" at the sole discretion of the City.
Complaint	Any written or verbal communication from a Resident or the City directed to the Contractor expressing unsatisfactory service by the Contractor, including but not limited to any of the following:

- 1) Properly prepared waste material placed at the curb by 6:00 a.m. was not collected by the end of the work day;
- 2) The Contractor emptied Recyclables or Landscape Waste, which were separated from Refuse, into a Refuse vehicle;
- 3) The Contractor spilled material without a reasonable attempt to clean up the spill;
- 4) The Contractor failed to provide accessible, prompt information to a Resident or the City;
- 5) The Contractor broke a tree limb or damaged a mail box or container or other private or public property.
- 6) Failure to timely collect any Waste Materials in the manner provided for herein. The City may contact the Contractor on its own behalf and on the behalf of individual Residents.

Contractor	Groot Industries, Inc. or any of its officers, employees or agents.
Contractor Liaisons	Two (2) individuals designated by the Contractor as set forth in Section 21.6 hereof.
Contractor Vehicles	Any vehicles used in the performance of Services under this Agreement.
Curbside	The area adjacent to the street pavement, alley pavement, or gutter, and within five (5) feet thereof in any direction.
Customer Service Office	An office which is adequately staffed by the Contractor so that the Contractor may provide prompt and accurate information to City Residents and to the City and for the purposes of registering, responding to and maintaining complaints and documentation of responses thereto.
Days	Calendar days are intended unless otherwise specifically described as "business" or "working" days.
Director of the Department of Public Works	The City of Naperville's Director of the Department of Public Works, or his or her designee.
Disposal Site/Facility	The site or facility at which Contractor collection vehicles empty their materials. Disposal Sites and Facilities shall include only transfer stations, landfills, Material Recovery

Facilities, and composting sites, including farms, and any other 'pollution control facility' as defined by 415 ILCS 5/3.330.

Disposal Unit

A properly prepared container, bag, or bundle as set forth below:

- 1) Where describing Refuse collection, a single Disposal Unit includes:
 - a) One (1) water-tight, reusable waste container, no larger than thirty-two (32) gallons in capacity or sixty (60) pounds in weight;
 - b) One (1) securely tied or sealed, waterproof, disposable container not to exceed thirty-two (32) gallons in capacity and sixty (60) pounds in weight.
 - c) One (1) securely tied rug or roll of carpet, not exceeding two (2) feet in diameter, four (4) feet in length, and eighty (80) pounds in weight; or
 - d) One 35-gallon, 68-gallon or 95-gallon two wheeled lidded City supplied cart no more than 220 pounds in weight.
- 2) Where describing Landscape Waste collection, a single Disposal Unit includes:
 - a) One (1) biodegradable, two-ply, fifty (50) pound wet-strength Kraft paper bag designed for Landscape Waste collection not exceeding thirty-three (33) gallons in capacity and sixty (60) pounds in weight;
 - b) One (1) water-tight, reusable waste container, not exceeding thirty-two (32) gallons in capacity or sixty (60) pounds in weight labeled "Yard Waste Only" or "Landscape Waste Only;"
 - c) One (1) securely tied bundle of brush or branches not exceeding three (3) inches in diameter, sixty (60) pounds in weight, two (2) feet in diameter, and four (4) feet in length; or

- d) Landscape Waste Carts provided by the Contractor which may contain Landscape Waste including but not limited to Organic Waste.
 - e) Each live Christmas tree, free of tinsel, lights, and ornaments which is not bagged or bundled.
- 3) Where describing Recycling collection, a single Disposal Unit includes:
- a) One 35-gallon, 64-gallon or 96-gallon two wheeled lidded City supplied cart no more than 220 pounds in weight.
 - b) One (1) single piece or pile of flattened cardboard or paperboard boxes unlimited in size.
- 4) Where describing E-Waste, a single Disposal Unit includes:
- a) E-Waste screen devices (e.g. televisions, monitors); or
 - b) E-Waste Bundles as defined herein.
- 5) A Disposal Unit shall also include a total of not more than two Large Household Items per week per Household.

E-Waste

All covered electronic devices which are banned from Illinois landfills as defined in 415 ILCS 150/10 of the Illinois Electronic Products Recycling and Reuse Act (415 ILCS 150/1 *et seq.*) as amended from time to time, including but not limited to: computers (desktop, laptop, notebook, tablet), electronic keyboards, videocassette recorders, portable digital music players, digital video disc players, video game consoles, scanners, electronic mice, digital converter boxes, cable receivers, digital video disc recorders, cell phones, portable digital assistant (PDA), zip drives, computer monitors, televisions, printers, keyboards, facsimile machines, videocassette recorders, portable digital music players, satellite receivers, digital video disc players, video game consoles, computer mice, scanners, digital converter boxes, cable receivers, satellite receivers, digital video disc recorders, and small-scale servers. For

	avoidance of doubt, E-Waste shall not include Hazardous Waste.
E-Waste Bundles	E-Waste which does not exceed the size of a brown paper grocery bag of approximately 12 x 7 x 17 inches.
E-Waste Screen Devices	Screens associated with electronic devices (such as televisions and computer monitors) not including screens on electronic devices that can fit into an E-Waste Bundle (such as tablets, E-Readers, telephones, or other telecommunication devices).
FYI Tags	Tags attached to Residents' Recycling Carts which notify Residents: (i) that materials disposed of in the Recycling Cart were not Recyclable materials and were therefore treated as Refuse, or (ii) that the contents of the Recycling Disposal Unit have been contaminated or commingled with Refuse such that all materials in the Recycling Cart was treated as Refuse. The FYI Tag shall include a general description of the material in question.
Hazardous Waste	Hazardous waste as defined in Section 5/3.220 of the Illinois Environmental Protection Act as amended from time to time [415 ILCS 5/1 <i>et seq.</i>]
Holiday	New Year's Day; Memorial Day (fourth Monday in May); Independence Day; Labor Day (first Monday in September); Thanksgiving Day (fourth Thursday in November); and Christmas Day. If the Holidays listed above fall on a Saturday or Sunday, that Holiday will be defined as the day the City observes for that Holiday. This definition may be modified by the written agreement of the Director of the Department of Public Works.
Household	Single family detached living units (including single-family homes, townhomes, duplexes, and tri-plexes); and Residential buildings with not more than four units which have direct access to public right-of-way unless otherwise approved by the City and communicated to the Contractor.
Household Construction and Demolition Debris	Waste materials from interior and exterior Household construction, remodeling and repair projects, including, but not limited to, drywall, plywood, paneling pieces, lumber, windows, doors, cabinets, carpeting, bathroom and kitchen fixtures, small amounts of sod, earth, clay, sand, concrete, rocks, and other building and similar materials. For

avoidance of doubt, Household Construction and Demolition Debris shall not include Hazardous Waste. Such debris includes only small items, including but not limited to carpeting, placed in suitable disposable containers not exceeding sixty (60) pounds in weight, or in bundles not exceeding two (2) feet in diameter and four (4) feet in length. No more than two rolls of carpeting, weighing no more than sixty (60) pounds each, may be left Curbside on any scheduled pick-up day.

Each such container or bundle is a single Disposal Unit.

Non-conforming materials shall be subject to the special collection requirements as specified in Article 13/Special Household Collection Service of this Agreement.

Landscape Waste

Grass clippings, leaves, branches, brush, yard and garden trimmings, vines, garden plants and flowers, weeds, tree droppings (for example, pine cones and crabapples), and other similar organic waste materials accumulated as the result of the cultivation and maintenance of lawns, shrubbery, vines, trees, and gardens. Landscape Waste shall also include Organic Waste as defined herein. Sod is not Landscape Waste and shall be disposed of as Refuse, unless composting is available. For avoidance of doubt, Landscape Waste shall not include Hazardous Waste.

Landscape Waste is synonymous with "yard waste."

Landscape Waste Cart

Carts rented or leased by the Contractor to Residents when requested by Residents for the sole purpose of disposal of Landscape Waste (which includes, but is not limited to, Organic Waste). Landscape Waste placed in Landscape Carts do not require Landscape Waste Stickers.

Landscape Waste Sticker

Payment for Landscape Waste collection services where one pre-paid Landscape Waste Sticker must be affixed to each properly prepared Landscape Waste Disposal Unit.

Large Household Items

Any discarded and unwanted large household furnishings, including, but not limited to: pianos, organs, tables, chairs, mattresses, box springs, bookcases, sofas, and similar furniture. Large Household Items, including but not limited to household appliances that do not contain White Good Components as defined in 22.28 of the Illinois Environmental Protection Act (415 ILCS 5/22.28), shall be treated as Refuse. Large Household Items also includes White Goods as defined herein except that White Goods

	<p>which contain White Good Components must be disposed of in compliance with the provisions of Section 4/22.28 of the Illinois Environmental Protection Act as amended from time to time.</p>
Material Recovery Facility	<p>A facility capable of sorting and marketing Recyclables in a manner that is consistent with the processing of materials into new products.</p>
Organic Waste	<p>A subset of Landscape Waste comprised of fruits, breads, pastas, coffee grounds, vegetables, grains, cereal, and tea leaves which may be disposed of as Refuse or in Landscape Waste Carts.</p>
Properly Prepared Disposal Unit	<p><i>See, Disposal Unit.</i></p>
Recycling Carts	<p>35, 64, or 96 gallon carts provided by the City which are the only receptacle which may be used for Recycling purposes within the City.</p>
Recyclables	<p>Recyclables include but are not limited to newspapers and magazines, paper bags, office paper, mixed paper, colored paper, envelopes, unwanted mail, catalogs, telephone books, soft and hard cover bound books, paperboard, cardboard (including wet strength carrier stock) unlimited in size, frozen food packaging, Aseptic Packaging, Gable-Top Containers, glass bottles, jars, aluminum cans, foil, baking dishes, steel or tin containers, empty aerosols, plastic containers including #1 (PET), #2 (HDPE), #3 (V), #4 (LDPE), #5 (PP), #7 (other), and plastic carrier straps and other material mutually agreed to by the City and the Contractor. For avoidance of doubt, Recyclables shall not include Hazardous Waste.</p>
Recycling Drop Off Center	<p>The enclosure located at 156 Fort Hill Drive used to provide recycling services to City Residents and businesses for Recyclables as defined in this Agreement.</p>
Residents	<p>Individuals who reside within the corporate limits of the City of Naperville.</p>
Refuse	<p>All discarded and unwanted biodegradable and non-biodegradable household and kitchen wastes, including, but not limited to, food, food residues, and materials necessarily used for packaging, storing, preparing, and consuming same, usually defined as "garbage"; and all combustible and non-combustible waste materials resulting from the routine of domestic housekeeping, including, but</p>

not limited to, aluminum and steel cans, glass containers, plastic containers, crockery and other containers, medical waste generated as general household waste, metal, paper of all types, including newspapers, books, magazines, and catalogs; boxes and cartons; cold ashes, furniture, furnishings, and fixtures; small household appliances of all kinds, textiles and leather, dead animals and animal waste, toys and recreational equipment, and similar items. Refuse does not include Landscape Waste, White Goods, or E-Waste. Refuse does include Large Household Items other than White Goods.

The terms "garbage," "rubbish," "solid waste," "trash," and "waste" are synonymous with Refuse unless otherwise more specifically defined (for example, "Landscape Waste"). For avoidance of doubt, Refuse shall not include Hazardous Waste.

Route Supervisors

Those individuals assigned by the Contractor to act in a supervisory capacity on a daily basis to oversee the performance of Services hereunder.

Services

Contractor's performance obligations under this Agreement, including but not limited to collection and disposal services, the manner in which collection and disposal is achieved, and customer service, education and outreach.

Sorry Tags

Tags attached to uncollected Waste Materials which explain to Residents the specific reasons why such Waste Materials were not collected.

Stop

Each Household eligible for collection of Waste Materials hereunder shall be considered a Stop.

Term

This Agreement shall be in effect, unless earlier terminated as provided herein, from May 1, 2019 through April 30, 2029.

Tipping Fee

The fee charged to the Contractor or the City at any disposal site.

Transportation Costs

The Contractor's fuel costs and pro-rata vehicle depreciation expenses for the operation of Contractor Vehicles over the distance traveled to and from the corporate boundaries of the City and an entrance to any refuse, recycling, composting, or other site while providing the Services described herein.

Waste Materials

All materials to be collected pursuant to this Agreement, including but not limited to Refuse, Recyclables, Landscape Waste, Large Household Items, Household Construction and Demolition Debris, and E-Waste.

White Goods

"White goods" are as defined by the Illinois Environmental Protection Act (415 ILCS 5/22.28), as amended from time to time, and/or as defined by any other applicable law, which include "white good components" - CFC's (chlorofluorocarbon), electrical switches containing mercury, and any device that contains or may contain PCBs (polychlorinated biphenyls). White goods include, but are not limited to: refrigerators, freezers, air conditioners, humidifiers, dehumidifiers, washers, dryers, water heaters, water softeners, dishwashers, stoves, ranges, furnaces, and fluorescent lamps that contains mercury. For avoidance of doubt, White Goods shall not include Hazardous Waste.

ARTICLE 2
SCOPE

2.1 Scope of Agreement. In strict accordance with the terms and conditions of this Agreement, the Contractor shall provide the labor, personnel, materials, tools, equipment, supplies, vehicles, transportation services, landfill and compost facility space, Material Recovery Facility, and any and all other resources and facilities required to timely and effectively perform and complete the Services described herein within the corporate limits of the City and any territory hereinafter annexed. Except as otherwise specified herein, the Contractor shall have the exclusive right to perform the Services specified hereunder.

ARTICLE 3
TERM

3.1 Term of Agreement. This Agreement shall remain in full force and effect beginning on May 1, 2019, for a period of ten (10) years, terminating at 12 a.m. on May 1, 2029, unless terminated earlier or extended in accordance with the terms hereof.

ARTICLE 4
COLLECTION BY CITY SECTORS

4.1 Residential Collection by City Sectors. The City shall be divided into five (5) sectors for the purpose of collecting Refuse, Recyclable and Landscape Waste as shown on the Route Map in **Appendix "B"**. Each sector shall be scheduled to receive all collection services as provided herein on the same day. All Refuse, Recycling, Large Household Items, Household Construction and Debris collection services shall be provided at least once per week, Monday through Friday year-round to all Households within the City's corporate limits. Landscape Waste collection service shall be provided at least once per week, Monday through Friday, from approximately March 15 until December 31st. Said collections shall be made from all Households within the City's corporate limits and all property annexed to the City during the Term of this Agreement.

4.2 Amendment of City Sectors. The Contractor may, from time to time, submit its written request to amend the current collection sectors as shown on **Appendix "B"**.

- a) Such a request shall include a proposal for specific changes, together with a detailed explanation concerning the reasons for changing the sector boundaries or collection schedule.
- b) The Director of the Department of Public Works may at his or her reasonable discretion grant or deny the Contractor's request to change the sector boundaries or collection schedule for any or all areas served by the Contractor under this Agreement.
- c) Any change of the sector boundaries or the collection schedule shall be approved by the Director of the Department of Public Works at least sixty (60) days before such changes may become effective.
- d) If the Director of the Department of Public Works approves any change of the sector boundaries or the collection schedule, the Contractor shall, at its sole cost and expense, design, print and distribute a written letter to each affected Household explaining the reasons for the changes and the date on which the change will become effective, and provide to the City a copy of a map outlining the revised collection schedule and sector boundaries in a form requested by the City. The content and distribution of any such letter shall be subject to the City's prior written approval. Such letter of explanation shall be distributed by the Contractor to arrive at each Resident's house at least two (2) weeks prior to the effective date of any service changes.
- e) During the first week following any City-approved change in the collection schedule, the Contractor shall operate its collection vehicles on the previous collection schedule in addition to the new schedule.
- f) During the second and third week following any City-approved change in the collection schedule, the Contractor shall immediately respond to Complaints concerning missed collections from Residents who are confused by the new schedule if such Residents will have to wait longer than two (2) days for collection.

ARTICLE 5
REGULAR WEEKLY COLLECTION SCHEDULE FOR REFUSE, RECYCLABLES, AND
OTHER WASTE MATERIALS

5.1 Collection of Waste Materials. The Contractor shall provide weekly Curbside collection and disposal as provided herein of properly prepared Disposal Units of Household Refuse, Recycling, Household Construction and Demolition Debris, and Large Household Items within the City's corporate boundaries and all property annexed to the City during the Term of this Agreement. The Contractor shall also provide Curbside collection of E-Waste as provided herein.

5.2 Unlimited Collection with Exceptions. Residents may place an unlimited number of properly prepared Disposal Units at the curb for collection each week, and the Contractor shall collect them. Notwithstanding the foregoing, only two (2) Large Household Items may be put out for collection per week per Household and only two (2) items of Household Construction and Demolition Debris as set forth in Section 11.1.1.

5.3 Top-of-the-Drive. The Contractor shall collect Refuse and Recyclables from the top-of-the-drive from Households that are deemed hardship cases by the City. The Contractor shall receive no additional compensation for these collections, but the total amount of these collections shall not exceed 2% of the total Households collected under this Agreement.

ARTICLE 6
HOLIDAY COLLECTION SCHEDULE

6.1 Implementation and Notice of Holiday Collection Schedule. If any specified Holiday is observed on a regularly scheduled collection day, all collection services shall be rescheduled as follows:

- a) During the week of the Holiday, each succeeding collection day including and following a Holiday shall occur one day after the regular schedule. If a Holiday occurs on a Friday, the Friday route will be performed on Saturday.
- b) No residential collection shall be allowed on a Sunday unless specifically directed by the City.
- c) The Contractor shall provide publish notice of Holiday Schedules in the Naperville Sun and the Daily Herald.
- d) All such notices shall be forwarded to the above-specified newspapers no more than fourteen (14) days and not less than seven (7) days prior to the Holiday in the form of a public service announcement/ advertisement using font no less than twelve (12) points. The required notice shall include the date and time the rescheduled collection(s) will occur; the date and time the normal collection will resume and the regular collection schedule.

- e) A copy of the notice, in a form requested by the City, shall be forwarded to the City's Department of Public Works.
- f) Holiday collection schedules shall be prominently displayed on the Contractor's Website and on the Contractor's phone app. Phone alerts shall be provided if that functionality is available on the phone app.
- g) The provisions of Article 7 shall apply to Holiday collections.

ARTICLE 7

HOURS AND STANDARDS OF COLLECTION

7.1 Hours of Collection. Subject to the Force Majeure provisions set forth in Section 33.13 hereof, the Contractor shall not begin its collection operations within the City before 6:00 a.m., and shall cease collection by 6:00 p.m. No residential collection shall be allowed on Sunday unless specifically directed by the City.

7.2 Continuation of Services. The Contractor shall furnish sufficient numbers of vehicles and personnel to perform the Services required by this Agreement notwithstanding adverse conditions, breakdowns, strikes, or similar hindrances.

7.3 Resident Disruption Minimized. The Contractor's crews shall perform collection services with as little noise, disturbance, and disruption to the City's Residents as reasonably possible.

7.4 Curbside Disposal. Residents shall place Disposal Units Curbside to facilitate collection by the Contractor.

7.5 Curbside Collection. Subject to the Top of the Drive provisions set forth in Section 5.3 hereof, the Contractor shall collect all properly prepared Waste Materials from the Curbside.

7.6 Location of Emptied Disposal Containers. The Contractor shall return all empty containers at each Stop to the general location at which they were found. Empty containers shall not be placed in the middle of driveways, in driveway aprons, or near the curb in a manner that will increase the likelihood that an empty container will block a sidewalk or fall or roll into the street.

7.7 Contractor Caused Spills and Debris. The Contractor shall handle all containers with reasonable care to avoid damage and spills. Where collection crews break or spill any item of Waste Materials onto parkways, premises, curb-and-gutter, or streets, the crews shall immediately clean up the debris in a workmanlike manner. A broom and shovel shall be required as standard equipment on each vehicle.

7.8 Spills and Debris Not Caused by Contractor. The Contractor shall not be responsible for collecting or cleaning up Refuse, Recyclables, Landscape Waste, or Household Construction and Demolition Debris that has blown, fallen, leaked or been scattered from bags, cans, bins, or other containers through no fault of the Contractor.

7.9 Non-Interference. Any contracts between the Contractor and any other person or entity, including but not limited to businesses, commercial operations, Condominium\Townhomes,

rental buildings, institutions, schools, and/or units of government or agencies thereof, shall not interfere with or in any way delay or detract from Contractor's performance of Services hereunder.

7.10 Adequate Access to Disposal Sites and Facilities. At all times during the Term of this agreement or any extension hereof, the Contractor shall have sufficient access to Disposal Sites and Facilities needed in order to properly process and dispose of Waste Materials collected as provided herein. Within seven (7) days of a request from the City, the Contractor shall provide the City with reasonable assurances concerning the availability of adequate Disposal Sites and Facilities for disposal of each type of Waste Materials collected under this Agreement.

ARTICLE 8

PROPER DISPOSAL OR PROCESSING BY CONTRACTOR

8.1 General Requirements:

- a) The Contractor shall remove all collected Waste Materials from the City no later than 6:00 p.m. on the day of collection.
- b) The Contractor shall dispose of all Waste Materials before the vehicle returns to the City the following service day.
- c) Except upon the City's written authorization, the Contractor shall neither arrange for nor permit the collection or disposal of Recyclables, separated from Refuse, in a landfill or incinerator.
- d) Any such unauthorized disposal of separated Recyclables collected within the City shall be deemed to be a substantial default under this Agreement.

8.2 Refuse Requirements:

- a) The Contractor shall own, co-own, rent, lease, control, or otherwise have access to transfer stations, landfills, or waste-to-energy incinerators with sufficient capacity to dispose of all collected Refuse within the City under all circumstances.
- b) The Contractor shall be solely responsible for compliance with all applicable laws (General Provisions/Section 33.1).
- c) On or before May 1, 2019, the Contractor shall provide to the City, in writing, the name and location of the Refuse Disposal Sites and Facilities it intends to use during the term of this Agreement.
- d) Upon the City's request, the Contractor shall provide the City with proof that such Disposal Sites and Facilities comply with all laws and regulations governing such sites and facilities.
- e) Upon prior reasonable notice, the City shall have the right to visit and inspect Contractor's Disposal Sites and Facilities during regular business hours.

- f) The Contractor shall give the City written notice of any changes in the Refuse Disposal Sites and Facilities referenced in Section 8.2(c) above.

8.3 Landscape Waste Requirements:

- a) The Contractor shall own, co-own, rent, lease, control, or otherwise have access to a properly licensed and permitted composting facility, farm, and/or a landscape waste transfer station with sufficient capacity to dispose of collected Landscape Waste within the City under all circumstances.
- b) The Contractor shall be solely responsible for compliance with all applicable laws (see General Provisions/Section 33.1).
- c) Contractor shall provide the City, in writing, with the name and location of the Landscape Waste Disposal Sites and Facilities it intends to use during the term of this Agreement.
- d) Upon the City's request, the Contractor shall provide the City with proof that such Disposal Sites and Facilities comply with all laws and regulations governing such facilities.
- e) Upon reasonable notice, the City shall have the right to visit and inspect such Disposal Sites and Facilities during regular business hours.
- f) The Contractor shall give the City written notice of any changes in Landscape Waste Disposal Site/Facility referenced in 8.3(c) above.

8.4 Recycling Requirements:

- a) The Contractor shall own, co-own, rent, lease, control, or otherwise have access to Material Recovery Facilities with sufficient capacity to dispose of all collected Recyclables within the City under all circumstances.
- b) The Contractor shall be solely responsible for compliance all applicable laws (see General Provisions/Section 33.1).
- c) On or before May 1, 2019, the Contractor shall provide to the City, in writing, the names and locations of the Material Recovery Facilities it intends to use for City related Services during the term of this Agreement.
- e) Upon reasonable notice, the City shall have the right to visit and inspect such facilities during regular business hours.
- f) The Contractor shall give written the City written notice of any changes in the Material Recovery Facilities referenced in 8.4(c) above.

ARTICLE 9
RESIDENTIAL REFUSE AND RECYCLING SPECIFICATIONS

9.1 Waste Containers. The Contractor shall collect all properly prepared Recyclables and Refuse from all Resident-owned containers which conform to the requirements set forth herein and in the City Code. The Contractor shall collect all other Waste Materials as provided herein.

9.2 Contractor Damage of Containers. Where any Resident-owned container is broken or damaged due to the negligence, gross negligence, or intentional conduct of the Contractor, the Contractor shall promptly replace such container or offer restitution to the Resident. Where any Landscape Waste Cart rented or leased by the Contractor to a Resident is broken or damaged due to the negligence, gross negligence, or intentional conduct of the Contractor, the Contractor shall promptly replace such container.

9.3 No Contractor Rental or Lease of Refuse or Recycling Containers. The Contractor shall not rent or lease any containers to Residents for weekly Refuse or Recycling collection without the City's prior written consent.

9.4 Lost and Stolen Carts. The Contractor is not responsible for replacing Recycling or Refuse Carts which are lost or stolen.

9.5 City Recycling Carts Required. In order to participate in the City's Recycling program, Residents are required to purchase and use a City-issued Recycling Cart.

9.6 City Refuse Carts Optional. Although, not required to be purchased or used, the City makes carts available for purchase to City Residents to be used for the disposal of Refuse. Residents may elect, however, to use other Disposal Units for Refuse as defined herein.

ARTICLE 10
LANDSCAPE WASTE SPECIFICATIONS

10.1 Collection and Disposal – March 15th through December 15th.

10.1.1 Weekly Landscape Waste Collection: March 15th – December 15th. Every year during the term of this Agreement, or any extension hereof, beginning on March 15th and continuing through December 15th, the Contractor shall provide weekly Curbside collection and disposal of all properly prepared Disposal Units of Landscape Waste from Households within the City's corporate boundaries.

10.1.2 Landscape Waste Stickers and Landscape Waste Carts. During the period described in Section 10.1.1 above, the Contractor shall collect all properly prepared Landscape Waste Disposal Units set out for collection to which a Landscape Waste Sticker has been properly affixed except that Landscape Waste Carts rented or leased to Residents by the Contractor require no Landscape Waste Sticker.

10.1.3 No Combination of Landscape Waste and Refuse. The Contractor shall not combine Landscape Waste and Refuse in the same collection vehicle. Notwithstanding the

foregoing, the Contractor shall not be held responsible if Residents combine Landscape Waste and Refuse in garbage bags such that the Contractor is unaware that they have been combined.

10.2 Fall Landscape Waste Collection and Disposal and Christmas Tree Disposal.

10.2.1 Six-Week No Charge Landscape Waste Collection. Every year during the Term of this Agreement or any extension thereof, for a period of six (6) weeks, beginning on or about November 1st and ending on or about December 15th, the Contractor shall collect Landscape Waste Disposal Units without affixed Landscape Waste Stickers. At all other times, Landscape Waste shall be collected by the Contractor only if a Landscape Waste Sticker is properly affixed to a Landscape Waste Disposal Unit. (Note: Landscape Waste Stickers are never required for Landscape Waste disposal if a Landscape Waste Cart, as defined herein, is used by a Resident.)

10.2.2 Optional City Leaf Vacuuming. From year to year, the City may, but shall not be obligated to, provide a leaf vacuum collection program to as many City-selected homes as it determines appropriate.

10.2.3 Christmas Trees. During the first two full week of January of each Contract year, the Contractor shall collect discarded natural Christmas Trees that are placed Curbside at no charge to Residents. All ornaments, lights, tinsel and other decoration with the exception of flocking must be removed by the Resident prior to disposal. Christmas Trees may be chipped or composted at the Contractor's discretion.

10.3 Landscape Waste Stickers – Printing, Distribution, and Sale.

10.3.1 Contractor Creation and Distribution of Landscape Waste Stickers. Subject to the City's reasonable approval, the Contractor shall, at its sole cost and expense, print, distribute, and arrange for the sale of an adequate supply of Landscape Waste Stickers which will be sold by the City and designated retail outlets.

10.3.2 Retail Outlet Sale of Landscape Waste Stickers. Subject to the City's reasonable approval, the Contractor shall enter into agreements with at least twenty (20) local retail outlets conveniently located throughout the City for the sale of the Landscape Waste Stickers.

10.3.3 No Retailer Fee. Retailers may not add a handling or similar fee to the sale price of Landscape Waste Stickers.

10.3.4 Contractor's Monthly Supply of Landscape Waste Stickers. The Contractor shall supply Landscape Waste Stickers to retailers on a thirty (30) day billing cycle, and at the end of every thirty (30) day period, the Contractor shall require all retailers to pay the Contractor for all previously supplied Landscape Waste Stickers before additional supplies are delivered.

10.3.5 Contractor Responsibility. The Contractor shall not charge either the retailers or the City for printing, storage, handling, delivery, or any other services associated with the

distribution or sale of Landscape Waste Stickers. The Contractor shall be solely responsible for collection of monies from the sale of Landscape Waste Stickers.

10.3.6 Retailers Failure to Pay. The Contractor may suspend or terminate the supply of Landscape Waste Stickers to any retailer which becomes more than thirty (30) days in arrears in payments for such Landscape Waste Stickers.

10.3.7 Retailers Failure to Stock Landscape Waste Stickers. The Contractor may suspend or terminate the supply of Landscape Waste Stickers to any retailer which repeatedly fails to stock a sufficient number of Landscape Waste Stickers to meet the Residents' needs.

10.3.8 Notification to City of Retailer Suspension or Termination. The Contractor shall immediately notify the City of the names of any retailer to which the supply of stickers has been suspended or terminated for any reason.

10.3.9 Annual Retailer List. By March 1st of each year during the Term of this Agreement, the Contractor shall provide the City with a current list of retail sales outlets.

10.3.10 No Limit on Purchase of Landscape Waste Stickers. Subject to availability, Residents shall have the right to purchase one or any number of Landscape Waste Stickers at any time.

10.3.11 "Forever" Landscape Waste Stickers. Residents may continue to use Landscape Waste Stickers purchased at any time during the duration of this Agreement, or any extension hereof, even if the price of such Stickers has increased since the Residents purchased them.

10.4 Landscape Waste Sticker Design and Accountability.

10.4.1 Materials and Dimensions of Landscape Waste Stickers. Landscape Waste Stickers shall be produced on paper and have an elongated rectangular form with minimum dimensions of 19 centimeters in length and 4 centimeters in width.

10.4.2 Design of Landscape Waste Stickers. The front of the Sticker shall have a bright background and shall include the Contractor's name and phone number and an approved City symbol printed in contrasting type.

10.4.3 Landscape Waste Sticker Expiration Date. Each Landscape Waste Sticker shall be printed with the words "Expiration Date" and shall include the date on which this Agreement expires.

10.4.4 Proper Use of Landscape Waste Stickers. The back of each Landscape Waste Sticker shall include instructions for proper use of the Stickers as well as other information pertinent to the proper disposal of Landscape Waste.

10.4.5 Adhesiveness of Landscape Waste Stickers. All Landscape Waste Stickers shall be fabricated with pressure sensitive adhesive which will adhere to the Disposal Units in all weather conditions.

10.4.6 Contractor Liability for Landscape Waste Stickers. The Contractor shall be solely liable to account for all Landscape Waste Stickers used by Residents. The City shall not be liable for Landscape Waste Stickers sale proceeds or debts relating to Landscape Waste Stickers incurred by any retailer or the Contractor. The City shall not be liable for any counterfeiting of Landscape Waste Stickers that may occur.

10.5 Landscape Waste Sticker Price.

10.5.1 Landscape Waste Sticker Pricing. Beginning May 1, 2019, through April 30, 2029, the Contractor shall sell each Landscape Waste Sticker pursuant to this Agreement for not more than the following prices: \$2.25 from May 1, 2019 through December 31, 2020; \$2.50 from January 1, 2021 through December 31, 2023; and \$2.75 from January 1, 2024 through April 30, 2029. The only exception to this flat Landscape Waste Sticker price shall be in the event that the City exercises its option under Section 10.6 below to add an administrative surcharge.

10.6 Optional City Administrative Surcharge.

10.6.1 Landscape Waste Stickers Optional City Surcharge. The City shall have the right, but not the obligation, to assess a fixed administrative surcharge, adjusted from time to time, to the Contractor's sale of each Landscape Waste Sticker pursuant to the terms of this Agreement. Said surcharge shall not exceed ten percent (10%) of the price of the Landscape Waste Sticker.

10.6.2 Notification of City Surcharge. If the City determines to assess such a surcharge, it shall notify the Contractor by February 1st for implementation on March 1st.

10.6.3 Surcharge Remittance. Should the City assess an administrative surcharge to the sale of Landscape Waste Stickers, the Contractor shall submit a monthly accounting of the total number of stickers sold, and remit a check to the City equal to the amount of the administrative surcharge multiplied by the total number of Stickers sold during such monthly period.

10.6.4 Surcharge Report and Payment. The report and remittance referenced in Section 10.6.3 above shall be delivered to the City no later than fifteen (15) days after the last day of each month.

10.7 Landscape Waste Carts.

10.7.1 **Landscape Waste Carts** may, but are not required to be used by Residents for the collection of Landscape Waste. The Contractor shall rent or lease Landscape Waste Carts to Residents who desire them. The cost to rent or lease a Landscape Waste Cart from the Contractor shall be \$225.00 per year for the 2018 through 2020 calendar years. These rates shall be revisited from time to time beginning January 1, 2021 upon mutual agreement between the parties.

ARTICLE 11
HOUSEHOLD CONSTRUCTION AND DEMOLITION DEBRIS

11.1 Collection of Household Construction and Demolition Debris at No Charge.

11.1.1 Limited quantities of remodeling or construction materials, such as rolled carpeting, bathroom fixtures, cabinets, wood or drywall will be collected weekly at no additional charge as follows:

- a) Carpeting must be placed at the curb in rolls measuring no more than 4 feet long and 2 feet wide and weigh no more than 60 pounds. A maximum of 2 rolls of carpeting is allowed per week.
- b) Construction materials, such as drywall and wood paneling, must either be in 32-gallon containers that do not exceed 60 pounds or tied in bundles measuring 4 feet long, 2 feet wide and weigh less than 60 pounds.
- c) A maximum of two (2) 32-gallon cans, two (2) bundles, two (2) rolls, or any combination of each for a maximum of two (2) units is allowed to be set out for collection per week

11.2 Resident Payment for Collection of Additional Household Construction and Demolition Debris.

11.2.1 Additional household construction or remodeling materials, in excess of those described in Section 11.1 above, may be collected by special arrangement between a Resident and the Contractor by which the Resident agrees to pay the Contractor separately for the collection of such materials. The Contractor shall charge an amount not to exceed \$22.00 per cubic yard for collection of such materials. for the 2018 through 2020 calendar years. This rate shall be revisited from time to time beginning January 1, 2021 upon mutual agreement between the parties. Notwithstanding the foregoing, Residents have the right to solicit competitive prices for collection of said materials as well as for other materials as set forth in Article 13.

ARTICLE 12
E-WASTE

12.1 E-Waste Collection and Charges.

12.1.1 As an additional service provided under this Agreement, the Contractor shall provide for the collection and disposal of E-Waste so long as a pick-up has been scheduled and paid for by the Resident. The cost of E-Waste collection shall be as follows:

- a) E-Waste Bundles: \$35.00 each.

b) E-Waste Screen Devices:

- (i) Screens weighing fifty pounds (50lbs.) or less: \$35.00 each.
- (ii) Screens weighing fifty pounds (50lbs.) or more: \$50.00 each.

c) In addition to the foregoing provisions, Residents shall be allowed to put out up to six (6) E-Waste items per call for a total cost of fifty dollars (\$50.00), including an E-Waste Screen Device, if the E-Waste Screen Device weighs fifty pounds (50 lbs.) or less; and (ii) Residents shall be allowed to put out up to six (6) E-Waste items per call for a total cost of seventy dollars (\$70.00), including an E-Waste Screen Device, if the E-Waste Screen Device weighs more than fifty pounds (50 lbs.) or more.

d) E-Waste shall be left Curbside by the Resident subject to any other location which is mutually agreed upon by the Resident and the Contractor.

e) The rates set forth in this Section 12.1.1 shall be set for the 2018 through 2020 calendar years. These rates shall be revisited from time to time beginning January 1, 2021 upon mutual agreement between the parties.

12.2 Resident Payment for Collection of E-Waste. The Contractor shall accept payment from Residents for E-Waste collections either online or by phone. Payment on the Contractor's phone app shall also be available if the phone app has that functionality.

12.3 No Limit on Collection of E-Waste. There shall be no minimum or maximum amount of E-Waste that Residents may have collected provided that each item has been properly prepared and paid for as provided herein.

12.4 Receipt for Payment for Collection of E-Waste. The Contractor shall leave a receipt with Residents for E-Waste that has been paid for and collected.

12.5 Communication of E-Waste Collection Availability and Payment Process. The Contractor shall use all reasonable efforts to communicate the availability of the E-Waste collection service to Residents and shall make the process for scheduling and paying for E-Waste collection as convenient as possible.

12.6 Disposal of E-Waste in Compliance with the Law. All E-Waste shall be collected and disposed of in compliance with the Illinois Electronic Recycling and Reuse Act, 415 ILCS 150/1 *et seq.*, as amended from time to time, and with any other applicable laws, regulations, or ordinances.

ARTICLE 13
SPECIAL HOUSEHOLD COLLECTION SERVICE
OUTSIDE SERVICES PROVIDED UNDER THIS AGREEMENT

13.1 Resident Contracting for Certain Collection Services. Residents desiring to dispose of large quantities of non-containerized Refuse, Landscape Waste, or Household Construction and Demolition Debris shall be allowed to solicit competitive prices for such services from the Contractor and/or entities other than the Contractor, and may select the Contractor or any other entity to provide such services.

13.2 Recycling Drop-off Facility and Resident Contracting for Collection of Recycling. Any Residents desiring to dispose of large quantities of Recyclable materials shall be allowed to utilize the Recycling Drop-off Facility. They shall also be allowed to solicit competitive prices for such services from the Contractor and/or entities other than the Contractor, and may select the Contractor or any other entity to provide such services.

13.3 Resident Responsible. Residents shall be solely responsible for full payment for all the private services described in this Article 13. No private collection agreement or arrangement shall affect the terms of this Agreement.

ARTICLE 14
ITEMS LEFT FOR CHARITABLE ORGANIZATIONS

14.1 No Interference with Charitable Offerings. Households within the City may leave household goods and items Curbside for any charitable organization (including not-for-profit organizations). The Contractor shall not collect or dispose of such items as long as they are clearly marked as intended for collection by a charitable organization.

ARTICLE 15
MISSED COLLECTIONS AND COMPLAINTS

15.1 Contractor Receipt and Resolution of Resident Complaints. The Contractor shall promptly and courteously investigate, respond to, and resolve all Complaints including but not limited to Complaints concerning missed collections.

15.2 Communication of Complaints. The Contractor shall have the ability to accept and respond to Complaints by email, text messages, Web form (Contractor's Website), and through Contractor's phone app.

15.3 Collection After Notice of Missed Pick-Ups. If the Contractor is notified of a missed collection before 12:30 p.m., the Contractor shall provide collection from such Household that day. If notification is received after 12:30 p.m. and the Contractor is no longer reasonably able to return to the Household in question that day, the Contractor shall provide for collection from such Household the next working day. Notwithstanding the foregoing, if a Household has repeat occasions of missed pick-ups due to Waste Material being put out too late for collection, the Contractor shall advise the Resident and the Director of the Department of Public Works to that effect by email or by phone and shall provide for collection from that Household at its earliest convenience, which may be the next regular collection day applicable to that Household.

15.4 Complaint Resolution Timeframe. The Contractor shall resolve all Complaints concerning Services to be performed hereunder within twenty-four (24) hours after it receives notice of such Complaint. If a Complaint cannot be resolved within that timeframe, the Contractor shall give written notification (which may be by email) to the Director of the Department of Public Works as provided in Section 32.1.2 hereof.

15.5 Notice to City of Unresolved Complaints/City Action. When any dispute arises between the Contractor and any Resident of the City which Contractor is unable to resolve to the Contractor's and Resident's satisfaction, the Contractor shall notify the Director of the Department of Public Works within twenty-four (24) hours of such impasse occurring. The Contractor shall provide a written summary of the Complaint, including the time and date the Complaint was received, Contractor's attempts to resolve the Complaint, and the name, address, and telephone number of the Resident making the Complaint. The Director of the Department of Public Works shall arbitrate such Complaint and his or her decision regarding a resolution of such Complaint shall be binding upon the Contractor.

15.6 Complaint Log. The Contractor shall maintain a daily log of Complaints ("Complaint Log") received in a format approved by the Director of the Department of Public Works, such approval not to be unreasonably withheld, conditioned, or delayed. The Complaint Log shall state the name and address of the individual submitting the Complaint, the day and hour the Complaint was received, the day and hour the Complaint was resolved, how it was resolved, and the name and title of the Contractor representative responsible for its resolution. A copy of the Complaint Log shall be provided to the Director of the Department of Public Works at the end of each month (for that month) with Contractor's invoice for services.

ARTICLE 16

RECYCLING DROP-OFF CENTER

16.1 Contractor Staffing and Operation. The Contractor shall operate a Recycling Drop-Off Center at the Naperville Public Works Service Center located at 156 Fort Hill Drive, Naperville. The Contractor shall accept Recyclables at the Recycling Drop-Off Center and dispose of them in accord with the provisions of this Agreement. The Recycling Drop-Off Center shall be open and staffed by the Contractor from 8:00 a.m. until 4:00 p.m. every Wednesday and Saturday unless Wednesday or Saturday fall on a Holiday in which case the Center may be closed.

16.2 Recycling Drop-Off Center Contractor Responsibilities. The Contractor shall provide at least one English-speaking employee to open the Recycling Drop-Off Center, to staff it from 8:00 a.m. to 4:00 p.m., to direct participants, to provide educational materials to participants, and to securely close the Recycling Drop-Off Center. The Contractor shall not charge for any materials brought to the Recycling Drop-Off Center and shall remove and dispose of Recyclable materials at the end of each day the Recycling Drop-Off Center is open.

ARTICLE 17
REFUSE AND RECYCLING COLLECTION FROM CITY-OWNED FACILITIES

17.1 Collection of Waste Materials at City-Owned Facilities.

17.1.1 The Contractor shall, at its sole cost and expense, provide dumpsters/containers to each City-Owned Facility, including but not limited to Naper Settlement and each Naperville Library location, for the collection of Waste Materials. The size and placement of such dumpsters/containers and the frequency of collection shall be specified and adjusted by the City from time to time in accordance with **Appendix "A"** attached hereto and incorporated herein by reference. **Appendix "A"** may be modified by the City from time to time.

17.2 No Charge for Collection of Waste Materials at City-Owned Facilities. There shall be no cost to the City for the collection of dumpsters/containers at City-Owned Facilities during the Term of this Agreement or any extension hereto.

ARTICLE 18
FESTIVAL REFUSE AND RECYCLING SERVICES

18.1 Festival Container and Dumpster Service.

18.1.1 Beginning on May 1, 2019, during the term of this Agreement and any extension hereof, the Contractor shall provide the festival Refuse collection and Recycling Services specified in this Agreement, including but limited to, collection of the festivals' Refuse and Recycling from centralized locations, container delivery including Refuse and Recycling boxes, and adequate dumpster collection service for Rib Fest over the fourth of July weekend, and the Last Fling over Labor Day weekend. These services shall include all labor and conveyance devices required to remove all Refuse and Recyclables from the festival daily.

18.1.2 The Contractor shall deliver a sufficient number of thirty-two (32) gallon capacity Refuse and Recycling collection boxes for each event at a ratio of two (2) Refuse boxes for every one (1) Recycling box supplied

18.1.3 The Contractor shall also deliver and provide collection from a sufficient number of dumpsters to enable the Contractor's workers to empty the containers into the dumpsters and maintain clean and sanitary conditions on the festival grounds at all times.

18.1.4 Festival Recycling services shall include, without limitation, cardboard Recycling and commingled container Recycling.

18.1.5 The Contractor shall deliver on May 1, 2019, and on the first day of every May thereafter throughout the Term of this Agreement and any extension thereof, two hundred and fifty (250) 32-gallon capacity Refuse and Recycling collection boxes for use by the City to be delivered to a site designated by the Director of the Department of Public Works.

18.2 Sponsorship for Festival and Recycling Collection Services.

18.2.1 Beginning on May 1, 2019, and throughout the Term of this Agreement and any extension thereof, the Contractor shall, at its sole cost and expense, provide festival Refuse and Recycling collection services to the City for the Last Fling and Rib Fest. Such services shall be provided without any cost or expense to the City or the event organizers provided the Contractor is designated as a corporate sponsor at an appropriate level based on cost of service determined by the event organizers and acknowledged as such in all promotional material for the Rib Fest and Last Fling.

18.3 Reporting Festival Data.

18.3.1 Within five (5) working days after Rib Fest and Last Fling, the Contractor shall email a report to the City itemizing the total tons of Refuse and Recyclables collected for Disposal or processing. This information should also include the number and sizes of dumpsters delivered and the number of collections from each dumpster. The Contractor shall provide such additional information regarding work performed for Rib Fest and Last Fling as may reasonably be requested by the City. The provisions set forth in this section shall survive the expiration or termination of this Agreement for a period of one (1) year.

ARTICLE 19

VEHICLES USED IN THE PERFORMANCE OF THIS AGREEMENT

19.1 Display of Contractor Name/Telephone Numbers. All vehicles used in the performance of Services under this Agreement (herein "Contractor Vehicles") shall clearly display on both sides of each vehicle the name of the Contractor, a local or toll-free telephone number, and a vehicle identification number.

19.2 Good Working Order/Substitute Vehicles. The Contractor shall maintain all Contractor Vehicles in good working order and appearance, free of excessive rust, and clean at the start of each collection day. If any Contractor Vehicle is not properly operable, a substitute vehicle which meets the operating standards specified herein shall be immediately be provided.

19.3 Fully Enclosed/Leak-Proof. Contractors' Vehicles shall be fully enclosed, leak-proof vehicles, operated so that no Refuse, Recycling or Landscape Waste leaks, spills, or blows off the vehicles. Drain plugs, if available, shall be kept sealed, except during collections in rainy weather.

19.4 Clean-up if Debris or Leaks Caused by Contractor. The Contractor shall be solely responsible for collecting or cleaning up any litter, fluids, Refuse, Recyclables or Landscape Waste which may leak, spill, or blow off a vehicle due to the vehicle operator's failure to properly monitor the load, to operate the vehicle, or due to failure of any mechanism.

19.5 City Clean-Up and Charge Back. If such litter or fluids are not cleaned up after verbal or written notice from the City, the City may, but shall not be obligated to, clean up such litter or fluids. In such an event, any costs incurred for clean-up shall be deducted from the Contractor's monthly invoice.

19.6 Annual Vehicle Inspection. Upon the City's request, the Contractor shall make all vehicles available for an annual inspection during regular business hours.

19.7 Number of Vehicles and Use of Diesel and CNG. Contractor anticipates that it will use ten (10) Refuse, eight (8) Recycling, and five (5) Landscape Waste (on a seasonal basis) for a total of twenty-three (23) vehicles to provide the Services specified in this Agreement (herein "Contractor Vehicles"). Except as provided below, Contractor Vehicles shall be fueled by diesel fuel in compliance with the USEPA 2018 diesel engine emission standards or such other standards as may be in effect during the Term of this Agreement.

19.7.1 If the City participates in the development of a compressed natural gas ("CNG") fueling station, anticipated to be constructed on a portion of real property owned by the City located at 1720 W. Jefferson Avenue, Naperville, IL 60540 (herein "Naperville CNG Facility"), the Contractor agrees to transition its Contractor Vehicles from diesel fuel to CNG sufficient so that Contractor's Vehicles use the following minimum amounts of gasoline gallon equivalents of CNG ("GGEs") at the Naperville CNG Facility as follows:

19.7.1.1 Fifty thousand (**50,000**) GGE(s) in the first year the Naperville CNG Facility is first operational (as documented by notice given to the Contractor by the City) so long as the Facility is open, and notice has been given, with a minimum of five (5) months remaining in the calendar year;

19.7.1.2 One hundred thousand (**100,000**) GGE(s) in the first full year following the year the Naperville CNG Facility being operational;

19.7.1.3 Two hundred thousand (**200,000**) GGE(s) in the second year following the Naperville CNG Facility being operational, and for each year of the remainder of the Term of this Agreement.

19.7.2 CNG Rebates. Contractor agrees that the City shall be entitled to payment of 50% of any rebate resulting from Contractor's purchase of CNG from the Naperville CNG Facility. If rebate payments are made to the Contractor instead of to the City, the Contractor agrees that it shall remit such payment to the City within thirty (30) days of receipt.

19.7.3 CNG Reports. In February of each year this Agreement is in effect, the Contractor shall submit a report to the Director of the Department of Public Works for the prior calendar year (or partial calendar year in the first year the Naperville CNG Facility is operational) which sets forth:

19.7.3.1 The number of GGEs by the Contractor at the Naperville CNG Facility;

19.7.3.2 The amount of rebates received by the Contractor; and

19.7.3.3 The amount of rebates remitted from the Contractor to the City.

The City shall have the right, upon request of the Director of the Department of Public Works, to review Contractor's records pertaining to Contractor's use of the Naperville CNG Facility, including but not limited to records reflecting GGE(s) used, rebates paid, and rebates transmitted to the City.

19.8 Safety Tracking Equipment. All Contractor vehicles serving the City under this Agreement shall be equipped with an operational camera recording system, GPS tracking capability, and collision avoidance safety features (equivalent to “Third Eye” MobileVision, Digital, and Collision Avoidance Radar Systems) (hereinafter “Safety and Tracking Equipment”). Contractor shall train employees performing Services under this Agreement in the correct operation of said Safety and Tracking Equipment and shall require its employees, when operating Contractor vehicles during the performance of this Agreement, to use said Safety and Tracking Equipment. Upon the City’s request, Contractor shall provide the City with copies of or direct access to information generated by said Safety and Tracking Equipment.

19.9 Vehicle List. A listing of vehicles to be utilized by the Contractor in carrying out this Agreement shall be provided to the Director of the Department of Public Works prior to starting work under this Agreement and shall be provided from time to time when requested by the Director of the Department of Public Works. If CNG vehicles are used, those vehicles shall be identified on each list provided.

ARTICLE 20

CONTRACTOR’S ACCIDENT PREVENTION AND NOTIFICATION

20.1 Compliance with Safety Requirements. The Contractor shall comply with the safety provisions of all applicable laws, regulations and codes, including, but not limited to, the installation and maintenance of safeguards on machinery and equipment, the elimination of hazards, and worker safety training.

20.2 Safety Training. The Contractor shall exercise strict care at all times to protect the safety of persons and property, including but not limited to providing continuing and comprehensive and up-to-date training for its employees who perform Services hereunder

20.3 Notification to City of Contractor Accidents. The Contractor shall immediately notify the Director of the Department of Public Works in writing of any accident of any kind which involves the general public or private or public property which occurs during the performance of Services pursuant to this Agreement.

20.4 Written Accident Report. The Contractor shall provide the Director of the Department of Public Works with a written report including the details of any such accident.

20.5 Safety and Tracking Equipment on Contractor Vehicles. The Contractor shall equip Contractor Vehicles with the Safety and Tracking Equipment described in Section 19.8 above.

20.6 Discourteous or Unsafe Behavior. Upon written notice from the City advising the Contractor that the City has reason to believe, and provides the basis therefor, that any employee of the Contractor has exhibited discourteous or disrespectful behavior toward members of the public on multiple occasions, has exhibited egregious behavior toward one or more members of the public on a single occasion, or has failed to operate a Contractor Vehicle in such a manner as to protect the safety and security of the public, the Contractor shall not permit said employee to perform Services hereunder.

ARTICLE 21
CUSTOMER SERVICE, EDUCATION, AND OUTREACH

21.1 Critical Components. The Services provided by the Contractor under this Agreement have a direct and daily impact on Residents of the City. Therefore, the customer service, education, and outreach provided by Contractor under this Agreement are considered critical components of the provision of Services hereunder.

21.2 Route Supervisors. The Contractor will assign two Route Supervisors to act in a supervisory capacity on a daily basis to oversee the performance of Services hereunder. The Contractor will provide the City with the names and contact information of the Route Supervisors.

21.3 Customer Service Office. Throughout the Term of this Agreement, the Contractor shall maintain a local Customer Service Office to which the public and City personnel may call or send inquiries and Complaints, and from which the public and City personnel may receive instructions. "Local" does not necessarily mean within the corporate limits of the City, but does mean within the Chicagoland area. Minimum customer service standards for responding to Resident phone calls shall be enforced by the Contractor and shall include, but are not limited to the following:

21.3.1 Except as otherwise directed by the City, Contractor's Customer Service Office shall be open and available to respond to Resident inquiries and Complaints at least between the hours of 7:30 a.m. and 4:30 p.m., Monday through Friday, except during Holidays as defined herein. Contractor shall provide other means of communication for Residents during hours when customer service representatives are not available, including but not limited to email, text messages, Web form (Contractor's Website), and through Contractor's phone app.

21.3.2 The Contractor shall equip the Customer Service Office which serves the City with a sufficient number of telephones, computers, employees, and other resources necessary to accommodate Resident inquiries, and shall provide management personnel to supervise such Office during Office hours. Two customer service representatives shall be dedicated to respond to City of Naperville Resident inquiries and Complaints and shall have detailed knowledge of all aspects of this Agreement. Notwithstanding the foregoing, all customer service representatives shall have a detailed understanding of all aspects of this Agreement and shall be capable of responding promptly and accurately to Resident inquiries and Complaints. All customer service representatives shall be provided with a detailed summary of the provisions of this Agreement so that they are able to promptly, clearly, and accurately supply information in response to Resident inquiries and Complaints. The City shall be provided a copy of said summary and any revised summaries.

21.3.3 Phone calls to the Customer Service Office shall be answered within forty-five (45) seconds (including wait time and time spent "on hold" waiting for a customer service representative). Residents placing calls to the Customer Service Office shall receive a busy signal no more than five percent (5%) of the time. A phone call which is transferred from one individual at the Customer Service Office to another individual shall be connected within thirty seconds. Upon request of the City, the Contractor shall make data available to demonstrate compliance with these provisions.

21.4 Contractor Facilities. The Contractor shall provide City personnel with a written summary describing the location, telephone number(s), and mailing address of the local Customer Service Office, Contractor's Vehicle storage facility, and any other facility that will be used to provide Services under this Agreement, and shall update that information as it changes.

21.5 Dissemination of Information Regarding Holiday Collections and Service Disruptions. When routine collections will not be made due to Holidays, weather events, or for any other reason, the Contractor shall provide for phone call and email inquiries from Residents to be responded to by personnel at the Customer Service Office or with an automatic message informing them that collections are not being made due to the applicable reason and advising them when to expect the next collection. The Contractor shall prominently display the same information available on its website, phone app, and on any social media that it uses. If the Contractor's phone app has the capability of providing alerts to Residents who have requested such alerts, this information shall also be provided by that means.

21.6 Contractor Liaisons. The Contractor shall designate and provide contact information for two (2) individuals who will be available to respond to inquiries from City officials or personnel related in any way to this Agreement, including but not limited to obtaining instructions, answering inquiries, and resolving Complaints. Such individuals shall be promptly available to discuss, and if necessary, meet with City officials or personnel to resolve problems.

21.6.1 The Contractor shall also provide the City with at least one telephone number which may be used by City officials or personnel to communicate with the Contractor after regular business hours or during an emergency.

21.7 Contractor Contact Information for Residents. The Contractor shall provide a local or toll-free Customer Service Office telephone number and email address for Resident use. The Contractor shall also provide a web address for the Contractor Website described herein, and information regarding Contractor's phone app as described herein. The City and the Contractor will publicize the Contractor's Customer Service Office telephone number, email address, website, phone app, and any other means the Contractor has to communicate with Residents.

21.8 Brochures. The Contractor shall, at its sole expense, provide to each Household thirty (30) days prior to May 1, 2019 and thirty (30) days prior to the anniversary date of each subsequent year of this Agreement, a brochure detailing the schedule for the Services described herein, applicable collection requirements, and any other information requested by City. At the meeting to be held during the first quarter of the calendar year as set forth in Section 21.10 below, the Contractor shall provide the City with a copy of the proposed brochure for the forthcoming year, and the City shall approve the brochure's content and layout prior to its distribution. When the brochure is distributed each year, the Contractor shall provide 4000 copies of the brochure to the City along with a PDF version of the brochure (unless a lesser number is requested in writing by the City).

21.9 Other Informational Materials. Upon the City's reasonable request, the Contractor shall provide the City with any other informational materials deemed necessary or appropriate.

21.10 First Quarter Meeting. During the first quarter of each calendar year this Agreement is in effect, the Contractor and one or more representatives of the City, shall meet in person to discuss what information should be included in the upcoming year's brochure and to discuss potential educational opportunities and events for the upcoming year. Educational and outreach events shall be prominently included in Contractor's website and, as appropriate, on Contractor's phone app.

21.11 Community Outreach and Education. The Contractor shall participate in Festivals and other outreach events as reasonably requested by the City, including but not limited to "Touch a Truck" events in order to educate Residents about Contractor services, appropriate disposal methods and alternatives, and to encourage safe behavior by the public when the Contractor is performing collections.

21.12 Contractor Website. The Contractor shall provide a website customized for the City of Naperville which is easy to navigate and which provides current and accurate information pertaining to the Services hereunder. Said Website shall be searchable by term and shall include but not be limited to the following: videos demonstrating proper preparation of Waste Materials by Residents, information regarding schedules for regular pick up and schedules for Holiday pick-up, the availability and cost of: (i) dumpster service, (ii) Landscape Waste Carts, (iii) E-Waste collection, (iv) collection of Household Construction and Demolition Debris not covered by regular collection as provided in Section 11.2 hereof, and Special Household Collection Services as described in Article 13 hereof, (v) Recycling Disposal Center availability and acceptable Recycling materials, and (vi) information regarding how Residents may submit questions to the Contractor and or Complaints regarding missed pick-ups or other matters, and the manner in which Complaints will be addressed and resolved.

21.13 Contractor Phone App. The Contractor shall make available to City Residents a phone app usable on Android or Apple (or similar) devices which will provide information, including but not limited to, regular collection schedules, types of Waste Materials collected, proper methods to dispose of Waste Materials., Landscape Sticker prices and retail outlet locations where they can be purchased (and during Transition at the expiration or termination of this Agreement, information as to how Residents may seek a refund of Landscape Waste Stickers), collection of E-Waste and Household Construction and Demolition Debris. Additionally, the Contractor's phone app shall provide text alerts regarding Holiday collection schedules and delays in collection due to weather events or other reasons.

21.14 Sorry Tags. The Contractor shall issue tags, which have been pre-approved by the City, that inform Residents of the specific reasons why the Contractor did not collect all Refuse, Recyclable and/or Landscape Waste material placed at the curb ("Sorry Tags"). The Contractor shall leave the Sorry Tag attached to the uncollected Waste Materials.

21.15 For your Information Tags. The Contractor shall issue tags, which have been pre-approved by the City, that inform Residents that materials which the Resident disposed of in a Recycling Cart were not Recyclable materials and were therefore treated as Refuse ("FYI Tags"). The FYI Tag shall include a general description of material in question. The Contractor shall leave the FYI Tag attached to the Resident's Recycling Cart. The number of FYI Tags issued each month shall be included in the Monthly Reports submitted to the City pursuant to Section 24.1 hereof.

ARTICLE 22

EMPLOYEES AND CONDUCT

22.1 Manner of Performance of Services. The Contractor shall use care and diligence in the performance of this Agreement and shall perform all collection and Disposal services required by this Agreement in a neat, orderly, timely, and efficient manner.

22.2 Knowledgeable and Courteous Personnel. The Contractor shall promptly provide neat, orderly, and courteous personnel for its collection crews and shall provide courteous and knowledgeable personnel for its Customer Service Offices.

22.3 Drugs, Alcohol, Prescription Medications. The Contractor shall prohibit its drivers and crew members from consuming any alcoholic beverages while working or using any controlled substance or prescription medication that negatively affects their ability to perform their duties under this Agreement.

22.4 Replacement of Unsuitable Contractor Employees. If the City reasonably determines that any of the Contractor's employees is unfit or unsuitable to perform the services under this Agreement as a result of intoxication, drug use, or by virtue of abusive or unacceptable behavior, then, upon the City's written request, the Contractor shall immediately remove such employee from work within the City and furnish a suitable and competent replacement employee.

22.5 Uniforms. All of the Contractor's permanent collection employees working within the City shall be required to wear a work uniform including a shirt or jacket clearly indicating that the employee is employed by the Contractor.

22.6 Compliance with Illinois Vehicle Laws. All of the Contractor's vehicle operators working within the City shall carry valid Illinois state driver's licenses for the class of vehicle operated. Such vehicle operators shall obey all traffic regulations, including but not limited to weight and speed limits.

ARTICLE 23

TRANSITION PERIOD

23.1 Transition at the Commencement of this Agreement. For a consecutive thirty (30) day period, commencing May 1, 2019, the Contractor shall perform all duties pursuant to this Agreement with special care and attention to detail by:

23.1.1 Training Contractor customer service representatives concerning the City's collection policies and the terms and conditions set forth herein, and by providing access to City maps, including but not limited to the Route Map attached hereto as **Appendix "B"**.

23.1.2 Training Contractor drivers concerning the City's collection policies, training each driver concerning the specifics of each assigned route, and providing each driver with a route map as well as a City map, including but not limited to the Route Map attached hereto as **Appendix "B"**.

23.1.3 Training the Route Supervisors concerning the City's collection policies, and training each Route Supervisor concerning the specifics of each assigned route and all public streets and unincorporated areas within the City limits. Each Route Supervisor shall be provided maps of their routes as well as a City map.

23.1.4 Making additional Contractor Vehicles available to respond to Complaints.

23.1.5 Honoring Landscape Waste Stickers. For a consecutive sixty (60) day period, commencing May 1, 2019 the Contractor shall accept and honor, at its sole cost and expense, Landscape Waste Stickers authorized for use by the City within the City prior to the Effective Date of this Agreement.

23.2 Transition at the Expiration or Termination of this Agreement.

23.2.1 Honoring Landscape Waste Stickers at the Expiration or Termination of this Agreement:

23.2.1.1 The Contractor shall refund to all Residents the full purchase price of any Landscape Waste Stickers returned to the Contractor within ninety (90) days after the expiration or termination of this Agreement, or any extension hereof if the City selects a different contractor to perform Services hereunder.

23.2.1.2 The Contractor shall reimburse retailers for returned or unsold Landscape Stickers within sixty (60) days after the expiration or termination of this Agreement, or any extension thereof.

23.2.2 The provisions of this Section 23.2 shall survive the expiration or termination of this Agreement.

ARTICLE 24

DATA COLLECTION; REPORTS; AND INSPECTION OF RECORDS

24.1 Monthly Reports. The Contractor shall collect and maintain accurate data, records, and receipts, and shall submit monthly reports to the City including all relevant information concerning the collection of Refuse, Recycling and Landscape Waste within the City, including, without limitation:

- a) The total weight of Refuse and Recyclables, and the cubic yards of Landscape Waste collected each month, listed separately for Household stops and City Owned Facilities;
- b) The total number of Landscape Waste Stickers sold per month;
- c) The number of Landscape Waste Carts rented per month;
- d) The total number of White Goods collected per month;

- e) The number of E-Waste pick-ups per month with a break-down by percentage of pick-ups of E-Waste Screen Devices in comparison to other E-Waste.
- f) The name and location of each landfill, incinerator, transfer station, compost facility, farm, or Material Recovery Facilities to which Waste Material was transported, together with a statement of the aggregate tonnage transported to each such facility;
- g) The number of FYI tags issued each month.
- h) During the Six-Week No Charge Landscape Waste Collection period described in Section 10.2.1 herein, the monthly reports shall include data showing the volume of Landscape Waste picked up on a weekly basis by cubic yards. At any facility to which such Landscape Waste is taken which has a scale, the Contractor shall also provide the City with data showing the weight of Landscape Waste taken there during that timeframe. At any facility to which such Landscape Waste is taken which does not have a scale, the Contractor shall take the average weight of the trucks when they are loaded and multiply that out to the total number of loads taken to that facility.

24.2 Delivery of Monthly Reports. Such monthly reports shall be delivered to the City by the Contractor with the Contractor's monthly invoice for services, no later than fifteen (15) days after the last day of the month. Such reports shall be submitted in the format set forth in **Appendix "E"** attached hereto, or in such other format as may be mutually agreed upon by the Contractor and the Director of the Department of Public Works.

24.3 Festival Data. The Contractor shall collect and maintain accurate data reflecting the total weight of Refuse and Recyclables collected and disposed of for Rib Fest and the Last Fling and shall provide a report of that data to the Director of the Department of Public Works within thirty (30) days after the conclusion of each festival.

24.4 City Surveys. The City may, but is not obligated to, conduct periodic surveys of different collection areas to determine set-out rates and Resident participation in the solid waste programs. In conducting such surveys, the City will use its best efforts not to interfere with the Contractor's collection personnel, but may require temporary delays for selected portions of routes.

24.5 City Inspection of Contractor Vehicles. Upon reasonable notice, and during regular business hours, the City or its authorized designees may inspect and examine any and all of the Contractors' records pertaining to the collection, transportation, disposal, or processing of all Waste Materials which take place pursuant to this Agreement.

24.6 Contractor Tipping Fee Receipts. Upon the City's request, the Contractor shall provide to the City copies of the Contractor's receipts for Tipping Fees for Refuse, Recycling or Landscape Waste collected pursuant to this Agreement.

24.7 Additional Data and Reports. Upon the City's reasonable request from time to time, the Contractor shall also provide any additional data, information, statistics, or reports concerning any of the Services provided hereunder, including but not limited to Contractor sale of any Waste Materials.

24.8 City Use of Data and Reports. Upon receipt by the City, all reports, data, and information shall become the property of the City. These documents may be used for purposes including, without limitation, publicizing Landscape Waste, Recycling and Refuse participation rates, quantities and other statistics, as well as preparing reports concerning Refuse, Recycling and Landscape Waste generation, diversion, and composting for the State of Illinois or other agencies during the term of this Agreement.

24.9 Survival. The provisions set forth in this Article 24 shall survive the expiration or termination of this Agreement for a period of one (1) year.

ARTICLE 25

CONTRACTOR COMPENSATION

25.1 Compensation Per Year. During the first year of this Agreement, the compensation to be paid to the Contractor by the City for the Services hereunder (excluding Services which specify payment by individual Residents and payment for Disaster Services as specified herein) shall be a total payment of **\$12.95 per Household per month**. Each year thereafter for the Term of this Agreement, said payment shall be increased by a fixed rate of 2.8%.

25.1.1 Price change computations which result in fractions equal to or greater than one quarter of one cent shall be rounded up to the nearest half cent. Price change computations which result in fractions less than one quarter of one cent shall be rounded down to the nearest half cent.

25.1.2 Price change computations which result in fractions equal to or less than three-quarters of one cent shall be rounded down to the nearest half cent. Price change computations which result in fractions greater than three quarters of one cent shall be rounded up to the nearest full cent.

25.1.3 The Contractor shall submit all invoices ("Invoices" or "Invoice") for payment to the City of Naperville Department of Public Works, Attention: Budget and Administrative Manager, 180 Fort Hill Drive, Naperville, IL 60540 by email to an address provided by the City or as otherwise directed by the Director of the Department of Public Works.

25.1.4 The City shall pay the Contractor within thirty (30) days of receipt of an Invoice from the Contractor. The interest provisions of the Illinois Local Government Prompt Payment Act, 50 ILCS 505/1 *et seq.* shall apply to any late payments about which there is no question or dispute.

25.1.4.1 If the Contractor does not receive payment as described above, it shall give written notice by regular mail and by email to the Director of the Department of Public Works and to the Budget and Administrative Manager that there is an unpaid Invoice within seven (7) days from the date such payment was due.

25.1.4.2 Notwithstanding the provisions set forth in 25.1.4 above, if the Director of the Department of Public Works or the Budget and Administrative Manager have questions or dispute any portion of an Invoice, the City shall submit payment to the Contractor of the remainder of the Invoice about which it has no

questions or disputes within thirty (30) days of receipt of an Invoice from the Contractor, and shall notify an individual designated by the Contractor for such purpose by email of the City's questions or disputes. If said questions or disputes are not resolved to the satisfaction of the Director of the Department of Public Works within forty-five (45) days of the City's receipt of the Invoice, the Contractor and the Director of the Department of Public Works shall arrange to meet in person in a further attempt to resolve in good faith such questions or disputes. Said meeting shall take place within sixty (60) days of the City's receipt of the Invoice, or such other timeframe as may be mutually agreed upon by the Director of the Department of Public Works and the Contractor.

25.1.5 Residents shall not be charged more than the monthly fee set forth above at any time for the Services provided in this Agreement unless specifically provided for herein. Except as specifically provided in Sections 25.2.5 and 26.5 below (related to City payment for disaster services), the above-described per Household payment shall be the full and only amount due to the Contractor from the City hereunder and shall include, without limitation, the costs of permits, licenses, and other certifications and approvals required by Federal, State and local laws, regulations and ordinances, and all applicable taxes and fees. Nothing herein prevents the City and Contractor from agreeing to Contractor performance of services outside this Agreement as mutually agreed upon.

25.2 Other Contractor Compensation. In addition to the compensation to be paid to the Contractor above, other compensation to the Contractor, subject to the provisions set forth in this Agreement, includes:

25.2.1 Landscape Waste Stickers purchased by Residents in the amounts set forth in Section 10.5 hereof (subject to the provisions of Section 10.6 hereof.)

25.2.2 Contractor's direct charge to Residents, upon a Resident's request, for collection of additional construction or remodeling materials as set forth in Section 11.2 hereof.

25.2.3 Contractor's direct charge to Residents, upon a Resident's request, for collection of E-Waste as provided in Section 12.1 hereof.

25.2.4 Contractor's direct charge to Residents, upon a Resident's request, for Landscape Carts as set forth in Section 10.7 hereof.

25.2.5 Payment by the City for disaster Services as provided in Section 26.5 hereof.

ARTICLE 26
DISASTER AND EMERGENCY CLEAN-UP REFUSE SPECIFICATIONS AND
EMERGENCY RESPONSE PLAN

26.1 Declaration of Emergency or Disaster and Request for Additional Services.

26.1.1 Upon the Mayor's declaration of a disaster or state of emergency and the Contractor's receipt of the City's written request for additional services, the Contractor shall provide, in a timely manner, all additional collection services and resources requested by the City which are vital to maintain or re-establish the public health, safety and welfare.

26.1.2 In the event of a regional disaster, including one or more communities within twenty (20) miles of the City's corporate limits, the Contractor shall not give preference to providing disaster services to any community.

26.2 Contractor Resources for Emergencies and Disasters.

26.2.1 The Contractor shall provide the City with a list of emergency numbers, including but not limited to after-hours numbers for Contractor's management personnel who have the authority to respond to the City in the case of an immediate need for service.

26.2.2 The Contractor shall maintain a corporate structure which enables it to respond quickly to emergencies and disasters affecting the City and shall have access to additional equipment and manpower upon which it can draw to provide additional support and services to the City.

26.2.3. The Contractor's local operations and facilities shall be available to respond to all of the City's immediate needs for emergency and disaster services. The Contractor shall have available, or have the ability to access, a pool of not less than double the number of vehicles specified in Section 19.7 above which can mobilize within twenty-four (24) hours in case of a localized emergency such as tornado damage or other storm damage, to provide collection of tornado/storm-related Waste Materials from Residents and City-Owned Facilities. Such resources shall be available without interrupting the Contractor's regularly scheduled collection routes.

26.2.4 The Contractor's service standards shall provide for immediate response from its diverse facilities in the event that additional collections are requested due to an emergency or disaster affecting the City.

26.2.5 The Contractor shall have at least two (2) spare vehicles available for use as Contractor Vehicles in case of breakdowns which may be mobilized to provide Services hereunder in case of an emergency or disaster affecting the City.

26.2.6 The Contractor shall, as needed, use all of its Disposal Sites and Facilities in the Chicagoland Area to ensure adequate resources to meet the City's needs during any emergency or disaster situation affecting the City.

26.2.7 The Contractor shall maintain, or have access to, a “strike force” composed of drivers and supervisors which may be mobilized in not more than three (3) calendar days to respond to a labor stoppage or natural disaster of major proportion affecting City Services provided hereunder.

26.3 Contractor Response.

26.3.1 In the event of an emergency or disaster affecting the City which requires, or may require, the collection of additional Refuse or Large Household Items (not including White Goods) within the City, the following provisions shall apply:

- a) Upon a request from the City, the Contractor shall immediately meet with designated City representatives to determine the response level needed from the Contractor to assist the City in protecting public health, safety, and welfare. Such meeting shall be scheduled at a time and place designated by the City and may be called at any time, 24 hours a day, 7 days a week. The Contractor’s representative at any such meeting shall be the General Manager in charge of the Naperville area, or his or her designee.
- b) At such meeting, a response plan shall be developed including but not limited to response times and locations, the number and types of vehicles to be used, and the manpower to be deployed. A Contractor’s manager will be designated to direct the effort and to coordinate and carry out the Contractor’s response to the declared emergency.
- c) Levels of service to be provided shall depend on the type and severity of the emergency.
- d) As soon as practicable, but no later than twenty-four (24) hours after receiving a request from the City, the Contractor shall deploy vehicles and manpower as instructed by the Director of the Department of Public Works to meet the needs of the citizens of Naperville for collection and disposal services.
- e) The Contractor shall provide a location for Disposal capacity as needed, including 24-hour service if the response plan calls for it.

26.4 Additional Contractor Response Specifications.

26.4.1 The Contractor’s service standards shall provide for immediate response from its diverse facilities in the event that additional collections are requested due to an emergency or disaster affecting the City.

26.4.2 In the event the City declares an emergency or disaster, and additional waste is generated as a result of such emergency or disaster, the Contractor shall secure the use of additional vehicles and employees in order to maintain a normal collection schedule throughout the City within seven (7) working days after such emergency or disaster is declared.

26.4.3 The City will determine the number and placement of any dumpsters in the event of such emergency or disaster.

26.4.4 During any emergency or disaster, the Contractor shall not be required to collect Landscape Waste, appliances, or White Goods except those which have been affected by the emergency or disaster. The collection of such material shall immediately resume after the City notifies the Contractor that the emergency or disaster has sufficiently abated.

26.4.5 Where the City delivers its written request for additional emergency and disaster services to the Contractor, and the Contractor is not able to respond to such request within twenty-four (24) hours, the City shall have the right, but not the obligation, to hire other entities to perform the required Refuse collection disaster services, and the Contractor shall reimburse the City for the difference between the rates set forth in Section 26.5.1 below and the rates the City paid for such services within thirty (30) days of receipt of an invoice therefor.

26.5 City Payment for Disaster Services.

26.5.1 Beginning on May 1, 2019 and during the term of this Agreement or any extension thereof, the Contractor's fee for additional disaster Refuse collection services shall be fixed at:

\$56.00	Per ton of Refuse in excess of expected amounts of Refuse for the same period of time had no disaster been declared.
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26.6 Force Majeure.

26.6.1 The Contractor's and the City's obligations under this Section 26 are subject to the Force Majeure provisions set forth in Section 33.13 hereof.

ARTICLE 27 **PARTNERSHIP SUPPORT**

27.1 Additional Services. On up to three (3) occasions per year during the Term of this Agreement, and at a total cost to the Contractor of not more than ten thousand dollars (\$10,000) per year, the Contractor shall provide reasonable additional services to the City at no cost to the City at the request of the Director of the Department of Public Works for the following:

27.1.1 Collection of Waste Materials resulting from residential foreclosures where other provision for pick-up has not occurred or has failed;

27.1.2 Collection of fly-dumping; or

27.1.3 Any other purpose mutually agreed upon by the Director of the Department of Public Works and the Contractor.

27.2 Contractor Office Space. During the Term of this Agreement, and subject to the availability of adequate space, the Director of the Department of Public Works shall, at no cost to the Contractor, provide the Contractor with work space for up to two (2) Contractor representatives (e.g. the Route Supervisors) between 7 a.m. and 4 p.m. Monday through Friday at the Naperville Public

Works Service Center located at 180 Fort Hill Drive, Naperville. Additional access to the work space may be provided as approved by the Director of the Department of Public Works.

ARTICLE 28

DEFENSE, INDEMNIFICATION, AND HOLD HARMLESS

28.1 Obligations to Indemnified Parties. The Contractor shall, at its sole cost and expense, defend, indemnify and hold harmless the City of Naperville and its officers, agents, employees, and representatives (hereinafter individually referenced as "Indemnified Party" or cumulatively referenced as "Indemnified Parties") from and against any and all claims of injury, death, loss, damages, suits, liabilities, judgments, and any and all costs and/or expenses associated therewith, arising out of or in consequence of this Agreement or the performance thereof, or which are caused by the intentional misconduct or negligence or omission of the Contractor, or its agents, employees, or representatives, or any of Contractor's subcontractors or subsidiaries or their respective employees, agents or representatives. Further, the Contractor shall, at its sole cost and expense, defend, indemnify, and hold harmless the Indemnified Parties from and against any and all liability, including, but not limited to, costs of response, removal, remediation, investigation, property damage, personal injury, damage to natural resources, health assessments, health settlements, reasonable attorneys' fees, and other related transaction costs arising under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, 42 U.S.C.A. Sec. 9601, *et seq.*, as amended from time to time, and all other applicable statutes, regulations, ordinances, and under common law, for any release or threatened release of materials collected and disposed of by the Contractor, both before and after their disposal. The Contractor expressly understands and agrees that any insurance or performance bond provided by the Contractor shall in no way limit the Contractor's responsibilities and obligations hereunder. The provisions in this Section 28.1 shall survive the expiration or termination of this Agreement.

ARTICLE 29

INSURANCE AND PERFORMANCE BOND

29.1 Insurance. At all times during the Term of this Agreement, the Contractor agrees to carry and maintain insurance relating to this Agreement in the amounts and subject to the terms and conditions set forth in **Appendix "C"**.

29.2 Performance Bond. Within thirty (30) days of execution of this Agreement by the Contractor and the City, but not later than the date upon which the Contractor begins to provide services under this Agreement, the Contractor shall furnish the City with a performance bond in the form attached hereto as **Appendix "D"** unless modified by the written agreement of the City Attorney. The bond shall insure the faithful performance of this Agreement and shall indemnify the City against any loss resulting from Contractor's performance, or failure to perform, under this Agreement. The amount of the bond shall be in a penal sum equal to one-fifth (1/5th) of the estimated amount of the Contractor's total compensation for the first year of this Agreement, and for each year thereafter shall be in the penal sum of one-fifth (1/5th) of the revenue earned by the Contractor for the preceding year under this Agreement. A performance bond in conformance with the provisions above shall be furnished by the Contractor with the City within thirty (30) days of the anniversary date of the commencement of the initial term of the Agreement each year this Agreement is in effect.

ARTICLE 30

DAMAGE TO PROPERTY

30.1 Contractor Precautions. The Contractor shall take all necessary precautions to protect public and private property during the performance of this Agreement.

30.2 Collection Receptacles. The Contractor shall, at its sole cost, repair or replace collection receptacles that are damaged by the Contractor due to Contractor's negligent or willful misconduct.

30.3 Damage to Other Property. The Contractor shall, at its sole cost, promptly repair or replace any private or public property, including, but not limited to sod and mailboxes, damaged by the Contractor. Notwithstanding the foregoing, the Contractor shall not be responsible for damages to City paving or curbs reasonably necessary for the Contractor to provide the Services hereunder.

30.4 Deduction of Costs Upon Failure to Repair. If the Contractor fails to reimburse, repair, or replace damaged property within ten (10) business days, or such other timeframe as is agreed upon by the Director of the Department of Public Works depending upon the nature and extent of the necessary repair or replacement, the City may repair or replace such damaged property and deduct reasonable costs from the monthly invoice due to the Contractor. Additional time may be granted by the Director of the Department of Public Works depending upon the nature and extend of the repair or replacement.

ARTICLE 31

NON-ASSIGNMENT, SUB-CONTRACTING, AND MERGER

31.1 Non-Assignment. The Contractor shall not assign or subcontract this Agreement or the work hereunder, or any part thereof, to any other person or entity without prior written consent of the City, which shall not be unreasonably withheld, conditioned or delayed. Consent may be withheld if the City reasonably determines that the quality or timeliness of provision of the Services hereunder may be adversely impacted. In the event of any assignment or subcontracting with the written consent of the City, the Contractor and such assignee or subcontractor shall be jointly and severally liable for all obligations hereunder.

31.2 Performance by Subsidiaries. The Contractor may, however, provided the City has received not less than thirty (30) days advance written notification, perform its obligations under this Agreement through its subsidiaries in which case the Contractor and such subsidiaries shall be jointly and severally liable for all obligations hereunder.

31.3 Maintaining Corporate Existence. The Contractor agrees that throughout the term of this Agreement it shall maintain its corporate existence and shall not merge or consolidate with any other entity and shall not transfer or convey all or substantially of its property, assets and licenses, without the prior written consent of the City, which consent shall not be unreasonably withheld, provided however, that the Contractor need not comply with this provision if, in the case of any merger, restructuring or consolidation, the Contractor is the sole surviving legal entity. If the Contractor violates the foregoing provisions, that violation shall be deemed a material breach of this Agreement and the City may terminate this Agreement which termination shall not be effective any sooner than three (3) months after such merger.

ARTICLE 32

DEFAULT AND TERMINATION

32.1 Non-Performance and Default.

32.1.1 All terms and conditions of this Agreement are considered material, and failure on the part of the Contractor to perform any of said terms and conditions shall be considered a breach of this Agreement unless cured as provided in Section 32.1.2 below. Notwithstanding the foregoing, an opportunity to cure shall not be required in the event of Contractor's chronic failure ("Chronic Failure") to perform Services as provided herein, characterized by several written communications to the Contractor detailing such breaches within a twelve-month timeframe, or in the event of one or more serious violations ("Serious Violations") of this Agreement which have impacted, or could have impacted, the public health, safety, or welfare, including but not limited to failure to dispose of Waste Materials in a lawful manner. Further, each of the following shall constitute a breach of the Agreement by the Contractor: (1) the Contractor's being or becoming insolvent or bankrupt or ceasing to pay its debts as they mature, or making an arrangement with or for the benefit of its creditors or consenting to or acquiescing in the appointment of a receiver, trustee or liquidator for a substantial part of its property; (2) a bankruptcy, reorganization, insolvency, or similar proceeding being instituted by the Contractor under the laws of any jurisdiction; (3) a bankruptcy, reorganization, insolvency, arrangement or similar proceeding being instituted against the Contractor under the laws of any jurisdiction, which proceeding has not been dismissed within one hundred twenty (120) days; (4) any action or answer by the contractor approving of, consenting to or acquiescing in any such proceeding, or (5) the levy of any distress, execution or attachment upon the property of the Contractor which shall (or which reasonably might be expected to) substantially interfere with Contractor's performance under this Agreement. (1) through (5) are cumulatively referenced herein as "Bankruptcy Proceedings".

32.1.2 Upon written notice to either or both of the Contractor Liaisons by the Director of the Department of Public Works of a breach of this Agreement, the Contractor shall cure the breach within twenty-four (24) hours of such notice. Notwithstanding the foregoing, if after good faith and diligent efforts the Contractor determines that it is not within its power to cure a breach within twenty-four (24) hours due to circumstances beyond its control, it shall notify the Director of the Department of Public Works to that effect in writing, and shall describe in detail: (i) the efforts it has taken to cure the violation; (ii) the specific circumstances beyond its control which result in its inability to cure the violation within twenty-four (24) hours; and (iii) a detailed description of how it will cure the violation within three (3) working days of delivery of the notice of breach, or within such additional period of time determined to be reasonable by the Director of the Department of Public Works. If the Director of the Department of Public Works determines that the Contractor's explanation as to its inability to cure within twenty-four (24) hours and/or that the Contractor's stated plan for cure are not sufficient or reasonable, the Director may find the Contractor in uncured breach of this Agreement. In the case of breach as a result of labor strikes, the Contractor shall cure any breach within three (3) days of such breach, or within such additional time period determined to be reasonable by the Director of the Department of Public Works. This cure provision shall not apply to Bankruptcy Proceedings or Chronic Failures and may only apply to Serious Violations if agreed to by the Director of the Department of Public Works. Further, this cure provision shall not apply to the breach specified in 31.3 above.

32.1.3 In the event of Contractor Bankruptcy Proceedings, an uncured breach of this Agreement, or a determination by the Director of the Department of the Department of Public Works of Chronic Failures or one or more Serious Violations by the Contractor, the Director of the Department of Public Works may declare the Contractor in default under this Agreement. However, prior to declaring the Contractor in default under this Agreement due to an uncured breach or Chronic Failures, the City shall extend an offer to the Contractor to meet and discuss the issues in question. It shall be within the Director of the Department of Public Works discretion whether to extend such an offer for a Serious Violation. If such a meeting occurs and the parties agree, the Contractor and the Director of the Department of Public Works may enter into a memorandum of understanding which describes the problems that have been encountered and an agreed upon approach to resolve those problems. Violation of said memorandum of understanding itself shall be deemed a material breach of this Agreement. If an agreement is not reached with respect to an acceptable memorandum of understanding, or if the memorandum of understanding is violated, the Director of the Department of Public Works may declare the Contractor to be in default.

32.2 City Remedies. If the Contractor is declared in default under this Agreement, the City may exercise any one or more of the following remedies:

32.2.1 Termination Upon Written Notice. The City may terminate this Agreement upon written notice to the Contractor. Upon any termination of this Agreement, the Contractor shall for a period requested by the City, but not longer than six (6) months, continue to perform the services provided for herein. Compensation during that time shall continue as provided in this Agreement.

32.2.2 Liquidated Damages. The City shall be entitled to liquidated damages in the amount of \$10.00 in addition to deduction of the regular collection rate if a missed collection is not rectified by the Contractor as provided herein.

32.2.3 Payment for Services Actually Provided. If the Contractor fails to provide any Service required under this Agreement, even if such failure is caused by events or occurrences of a nature described as "force majeure" under Section 33.13 of this Agreement, the City shall pay the Contractor only for services actually provided.

32.2.4 Deduction of Expenses for Substitute Service. The City will deduct its cost from the Contractor's monthly invoice for any expenses incurred by the City to provide substitute services in the event of a failure of the Contractor to perform hereunder.

32.2.5 Recovery of Unpaid Amounts Due City. The City may seek and recover from the Contractor any unpaid amounts due the City, all its substantiated costs for the failure of the Contractor to perform any obligation under this Agreement and all damages, including reasonable attorneys' fees whether based upon contract, negligence (including tort), warranty, delay or otherwise, arising out of the performance or non-performance by the Contractor of its obligations under this Agreement, and whether incidental, consequential, indirect or punitive, resulting from the breach.

32.2.6 Performance by Surety. The City may call upon the surety to perform its obligations under performance bond or, in the alternative, after releasing the surety from its obligations under the performance bond, take over and perform the required services by its own devices, or may enter into a new contract for the required services, or any portion thereof, or may use such other methods as shall be required in the opinion of the City for the performance of the required services.

32.2.7 No Limitation. The City shall have the right to exercise any right or remedy granted by state or federal law as it deems appropriate, including but not limited to any suit, action or special proceeding in equity or at law for the specific performance of any covenant or agreement contained herein or for the enforcement of any proper legal or equitable remedy as the City shall deem most effectual to protect the rights aforesaid, insofar as such may be authorized by law.

32.2.8 Cumulative Remedies. No remedy by the terms of this Agreement conferred upon, available or reserved to the City is intended to be exclusive of any other remedy, but each and every such remedy shall be cumulative and shall be in addition to any other remedy available to the City. No delay or omission to exercise any right or power accruing upon any default shall impair any such right or power or shall be construed to be a waiver of any such default or acquiescence therein, and every such right and power may be exercised from time to time and as often as may be deemed appropriate. No waiver of any breach or default shall extend to or shall affect any subsequent breach or default or impair any rights or remedies consequent thereto.

32.2.9 Survival. The provisions of this Section 32.2 shall survive the expiration or termination of this Agreement.

ARTICLE 33 **GENERAL TERMS AND CONDITIONS**

33.1 Compliance with Applicable Laws, Ordinances, and Regulations.

33.1.1 In providing the Services described herein, the Contractor shall be solely responsible for compliance with all Federal, State, County, and municipal laws, ordinances, and regulations, as amended from time to time, governing the collection and disposal of all Waste Material provided for herein. This provision shall survive the expiration or termination of this Agreement.

33.1.2 Upon reasonable notice, and during regular business hours, the City shall have the right to visit and inspect any of the Contractor's facilities used to provide Services pursuant to this Agreement to ensure compliance with all applicable laws.

33.1.3 The Illinois Freedom of Information Act, 5 ILCS 140/1 *et seq.* at 140/7(2), provides that records in the possession of others whom the City has contracted with to perform a governmental function are covered by the Act and subject to disclosure within limited statutory timeframes (5 working days with a possible 5 working day extension, or within such other time frame as agreed upon in writing by the requester). Upon notification from the City that it has received a Freedom of Information Act request that calls for records within the Contractor's control, the Contractor shall promptly provide all requested records to the City so

that the City may comply with the request within the required timeframe. The City and the Contractor shall cooperate to determine what records are subject to such a request and whether or not any exemptions to the disclosure of such records, or part thereof, are applicable.

33.1.3.1 The Contractor may seek to have proprietary information submitted by it to the City withheld from disclosure to third parties to the extent that such information falls within the definition and provisions of Section 7(1)(g) of the Illinois Freedom of Information Act, and so long as such information has been furnished to the City under a proprietary claim as provided in said Act.

33.1.4 Survival. The provisions of this Article 33.1 shall survive the expiration or termination of this Agreement.

33.2 Taxes, Licenses, Permits, and Certificates.

33.2.1 The Contractor shall, at its sole cost, pay all sales, use, property, income, and other taxes that may be lawfully assessed against the City or the Contractor in connection with the Contractor's facilities and the performance of this Agreement. This provision shall survive the expiration or termination of this Agreement.

33.2.2 The City is exempt from paying Federal Excise Tax, State and Local Retailers' Occupation Tax, State and Local Service Occupation Tax, Use Tax, Service Use Tax, and State and Local sales tax. The City will not reimburse, nor assist the Contractor in obtaining reimbursement, for any such taxes paid by the Contractor. The Contractor shall be required to reimburse the City for any such taxes required to be paid by the City in connection with Contractor's Services under this Agreement. These provisions shall survive the expiration or termination of this Agreement.

33.2.3 At its sole cost and expense, the Contractor shall secure all necessary permits, licenses, and certificates of authority required to perform the Services that are the subject of this Agreement, and shall comply with all requirements of such permits, licenses, and certificates of authority.

33.2.4 The Contractor shall, at its sole cost, keep and maintain all such licenses, permits, and certificates of authority in full force and effect throughout the Term of this Agreement.

33.3 Independent Contractor.

33.3.1 No Employee Relationship. The Contractor is, and shall remain for all purposes related to this Agreement, an independent contractor, and its officers, employees, agents, or consultants shall not be deemed employees of the City, nor shall they be entitled to any separate payment of salary, wages, or any employee benefits available to employees of the City.

33.3.2 Salaries and Compensation. The Contractor shall be solely responsible for any salaries, wages, benefits, fees or other compensation and worker's compensation which it may obligate itself to pay to any of its employees or consultants.

33.3.3 Survival. The provisions of this Section 33.3 shall survive the expiration or termination of this Agreement.

33.4 Title. Title to all materials picked up under this Agreement, whether or not such materials fall within the definitions for Refuse, Recyclables, Landscape Waste, Large Household Items Household Construction and Demolition Debris and E-waste hereunder, and all other incidents of ownership thereof, shall pass to the Contractor when such materials are placed into the collection vehicle or accepted by the Contractor at the Drop-Off Center. This Section shall survive the expiration or termination of this Agreement.

33.5 Local Improvements. The City may from time to time, construct improvements or permit construction, repairs, or maintenance on any street, which may have the effect of temporarily preventing the Contractor from traveling its accustomed route or routes for collection. The Contractor shall continue to provide the Services hereunder to the same extent as though no interference existed upon such routes.

33.5.1 At the Contractor's request, the City shall use its best efforts to assist the Contractor to resolve any problems due to construction activity.

33.6 Change in Law.

33.6.1 If a Change in Law occurs as defined in Section 33.6.3 below, either the City or the Contractor may request the other party to modify the terms of this Agreement as to the terms of payment hereunder. Upon sufficient demonstration of a Change in Law as defined herein, the parties hereto may agree to a modification of the payment terms of this Agreement, may agree to mediation or arbitration, or either party may elect to terminate this Agreement upon no less than six (6) months written notice to the other party and without further recourse.

33.6.2 In order to claim and substantiate a Change in Law, the claiming party shall submit to the other party a written request detailing the actual and exact cost increases or decreases resulting from the Change in Law along with all necessary and appropriate documentation in support thereof. If it is not possible to determine the actual increase in cost resulting from a Change in Law, an estimated cost may be used by the requesting party along with a detailed basis for the estimate being provided.

Within six (6) months, or such other timeframe as agreed to by the Contractor and the Director of the Department of Public Works, of an agreement to amend this Agreement to modify the payment terms herein due to a Change in Law using an estimated cost, the actual cost resulting from the Change in Law shall be determined and compared to the estimated cost. If there is a difference between the estimated cost and the actual cost such that either party seeks a subsequent amendment of this Agreement to reflect the actual cost, this Agreement shall be so amended unless the parties are not in agreement as to such amendment in which case the parties shall have available to them the remedies set forth in Section 33.6.1 above.

33.6.3 "Change in Law" means: (i) the enactment, adoption, promulgation or modification of any federal, state, or municipal law, rule or regulation of general application to the waste collection and disposal industry; or (ii) the order or judgment of any federal, state or local court, administrative agency or other governmental body impacting the waste collection

and disposal industry in general; provided that such the event described in (i) or (ii) substantially changes the costs of the Contractor in carrying out its obligations under this Agreement and establishes requirements which are substantially more burdensome than or in addition to the applicable requirements in effect on the date this Agreement is executed.

33.7 Transportation Costs. The Contractor shall be solely responsible for Transportation Costs and other costs incurred in relation to or associated with the Services described herein. This Section shall survive the expiration or termination of this Agreement.

33.8 Severability. If any of the provisions of this Agreement are determined by a court of competent jurisdiction to be invalid, such provisions shall be deemed to be stricken, and such adjudication shall not affect the validity of the remainder of the terms of this Agreement as a whole or of any section, subsection, sentence or clause not adjudged to be invalid so long as the material purposes of this Agreement can be determined and effectuated.

33.9 Governing Law and Venue. This Agreement shall be governed by the laws of the State of Illinois. Venue for any action taken by either the City or the Contractor, whether in law or in equity, to enforce the terms of this Agreement shall be proper only in the Circuit Court of the Eighteenth Judicial Circuit, DuPage County, Illinois.

33.10 Number of Copies. This Agreement may be executed in counterparts, each of which shall be deemed to be an original and which together shall constitute one and the same instrument.

33.11 No Waiver. Either party's failure at any time to require performance by the other party shall in no way be taken or held to be a waiver of any succeeding breach of such specifications in this Agreement, nor shall such a waiver of a single breach be taken or held to be a waiver of any specification itself.

33.12 Option to Modify Service; Amendments. Upon agreement of the parties, the Services provided pursuant to this Agreement may be modified, including but not limited to, changing the types of material collected, the types of materials deemed to be Recyclable materials, and the methods of handling, collecting, or disposing of collected waste.

33.12.1 Any modifications that do not increase payment to the Contractor shall not require an amendment of this Agreement; however, such modifications shall be reduced to writing, signed by the Contractor and the City Manager, and attached as an addendum to this Agreement.

33.12.2 Any modification to this Agreement that increases payment to the Contractor shall require an amendment to this Agreement and shall be approved by the Contractor and the Corporate Authorities for the City.

33.13 Force Majeure. Whenever a period of time is provided for in this Agreement for either the City or the Contractor to do or perform any act or obligation, neither party shall be liable for any delays or inability to perform due to causes beyond the control of said party such as war, riot, fire, flood, storm, earthquake, tornado or any act of God, but not strike or lockout. Provided, however, that said time period shall be extended for only the actual amount of time said party is so delayed and

provided that notice of such event is provided to the other party in writing no later than three (3) days from the onset of such event.

33.14 Limited Most Favored Nation Status.

33.14.1 The Contractor shall, within six (6) weeks of the end of the Contract year, provide the City with a list of all contracts under which the Contractor is providing any of the Services described herein to any unit of local government in Cook, DuPage, Kane, Kendall, or Will Counties, Illinois. The City may request, and the Contractor shall, at its sole cost and expense, deliver (or email) to the City certified copies of such requested contracts or agreements, including amendments pertaining to such contract or agreement.

33.14.2 If the City determines that the terms and conditions of any such other contracts, agreements, or amendments of any other community within the counties set forth in Section 33.14.1 above relative to: (a) customer service, education, or outreach; or (b) the use of any computer, software, or other technological devices or services, are more favorable to that community than as provided for herein (hereinafter "Preferential Terms or Services"), the City may elect, by written notice to the Contractor, to incorporate those terms and services into this Agreement in a manner which is at least as beneficial to the City at no additional charge to the City. The Contractor and the City shall take all steps necessary to amend this Agreement to implement said terms and conditions. Notwithstanding the foregoing: (i) the cost to accommodate a request by the City for the Contractor to utilize RFID technology for collection of Waste Materials hereunder shall be mutually agreed upon by the parties; and (ii) if the Preferential Terms or Services are paid for as a separate line-item from the remainder of the costs set forth in the other community's contract or agreement, the City shall be entitled to require the Contractor to provide such Preferential Terms or Services subject to the same payment therefor by the City as set forth in the other community's contract or agreement. Notwithstanding the foregoing, Article 33.14 does not apply to the pricing, costs, or rates set forth in this Agreement as of the EFFECTIVE DATE hereof.

33.15 Notices.

33.15.1 Except as otherwise specifically provided herein, any notice or demand required or permitted to be given or made thereunder shall be sufficiently given or made by facsimile, e-mail, messenger delivery, overnight delivery, or certified mail in a sealed envelope, postage prepaid, addressed as follows:

If to the City:

City of Naperville
City Manager
400 South Eagle Street
Naperville, IL 60540
Fax: 630-305-5355

With a copy to
City of Naperville
Director of Dept. of Public Works
180 Fort Hill Drive
Naperville, IL 60540
Fax: 630-305-5986

If to the Contractor:

Groot Industries Inc.
Attn: District Manager
1565 Aurora Ave. Ln.
Aurora, IL 60505
Fax: 630-966-8847

With a copy to
Waste Connections, Inc.
Attn: Legal Department
3 Waterway Square Place, Suite 110
The Woodlands, TX 77380
Fax: (832) 442-2290

33.15.2 Either party may change the address to which notices may be sent by furnishing written notice of such change to the other party.

33.15.3 Notice delivered by messenger, overnight delivery, facsimile, or e-mail shall be deemed received upon delivery. Notice delivered by mail shall be deemed to have been given as of the date of its receipt.

33.16 Authority. The undersigned warrant and represent that they are authorized to execute this Agreement.

33.17 Ambiguity. If any term of this Agreement is ambiguous, it shall not be construed for or against any party on the basis that the party did or did not write it.

33.18 Captions and Paragraph Headings. Captions and paragraph headings are for convenience only and are not a part of this Agreement and shall not be used in construing it.

33.19 No Franchise Intended. It is the understanding and intention of the parties that this Agreement shall constitute a contract for the services provided for herein; that it is not a franchise, nor shall it be construed as such.

33.20 Recitals Incorporated. The Recitals set forth on page 1 hereof are substantive and are hereby incorporated in this Section 1.1 as though fully set forth herein.

33.21 Appendices Incorporated. Each Appendix referenced in this Agreement shall be deemed attached hereto and made part hereof as though fully set forth herein.

33.22 Extension. This Agreement may be extended on terms mutually agreed to by the parties hereto.

33.23 Binding Effect. This Agreement shall be binding upon and inure to the benefit of the parties and their successors and assigns.

33.24 Entire Agreement. This Agreement contains the entire agreement between the Parties. All negotiations between the Parties are merged in this Agreement, and there are no understandings or agreements, verbal or written, other than those incorporated in this Agreement.

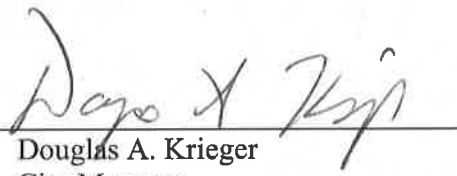
33.25 Order of Precedence. To the extent that RFP 18-041 and the terms of this Agreement can be read together, they shall be; however, if there is a direct conflict between the terms of RFP-041 and the terms of this Agreement, the terms of this Agreement shall control.

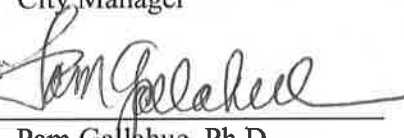
33.26 Effective Date. The effective date (herein "Effective Date") of this Agreement shall be May 1, 2019.

THE PARTIES TO THIS AGREEMENT by their signatures acknowledge they have read and understand this Agreement and intend to be bound by its terms.

/SIGNATURES ON FOLLOWING PAGES/

FOR THE CITY OF NAPERVILLE

By: 
Douglas A. Krieger
City Manager

Attest
By: 
Pam Gallahue, Ph.D.
City Clerk

Date 12/10/18



FOR THE CONTRACTOR/GROOT INDUSTRIES, INC.

By: 

Its: DVP

Print Name and Title: Peter J Lyons DVP

Attest

By: 

Its: Municipal Manager


Print Name and Title: Joshua Molnar, Municipal Manager

State of Illinois)

County of COOK)

The foregoing instrument was acknowledged before me by Peter Lyons, and Josh Molnar this 14th day of November, 2018.




Notary Public

APPENDIX "A"
CITY-OWNED FACILITIES AND SERVICES

Location	Address	Refuse	Service	Recycling	Service
Municipal Center	400 S Eagle St	1 - 6 yard container	1X week	14-65 gallon containers	1X week
Police Department	1350 Aurora Ave	3 - 2 yard containers	2X week	3-2.0 yard FR recycling	1X week
Public Works Service Center	180 Fort Hill Dr	3 - 4 yard containers	3X week	3-2.0 yard FR recycling	1X week
Compost Site		1 -10 yard container, 3 - 2 yard containers	1X week	NA	
Fire Station # 1	964 E Chicago Ave	1 - 2 yard container	1X week	4 - 65 gallon containers	1X week
Fire Station # 2	601 E Bailey	1 - 2 yard container	1X week	4 - 65 gallon containers	1X week
Fire Station # 3	1803 N Washington	1 - 2 yard container	1X week	4 - 65 gallon containers	1X week
Fire Station # 4	1971 BrookDale Rd	2 - 2 yard containers	1X week	4 - 65 gallon containers	1X week
Household Hazardous Waste Facility	156 Fort Hill Dr	8 -2 yard containers	1X week	3 -2 yard containers	1X week
Fire Station # 5	2191 Naper-Plainfield	1 - 2 yard container	1X week	2 - 65 gallon containers	1X week
Fire Station # 6	2808 103 Rd	1 -2 yard container	1X week	1- 65 gallon container	1X week

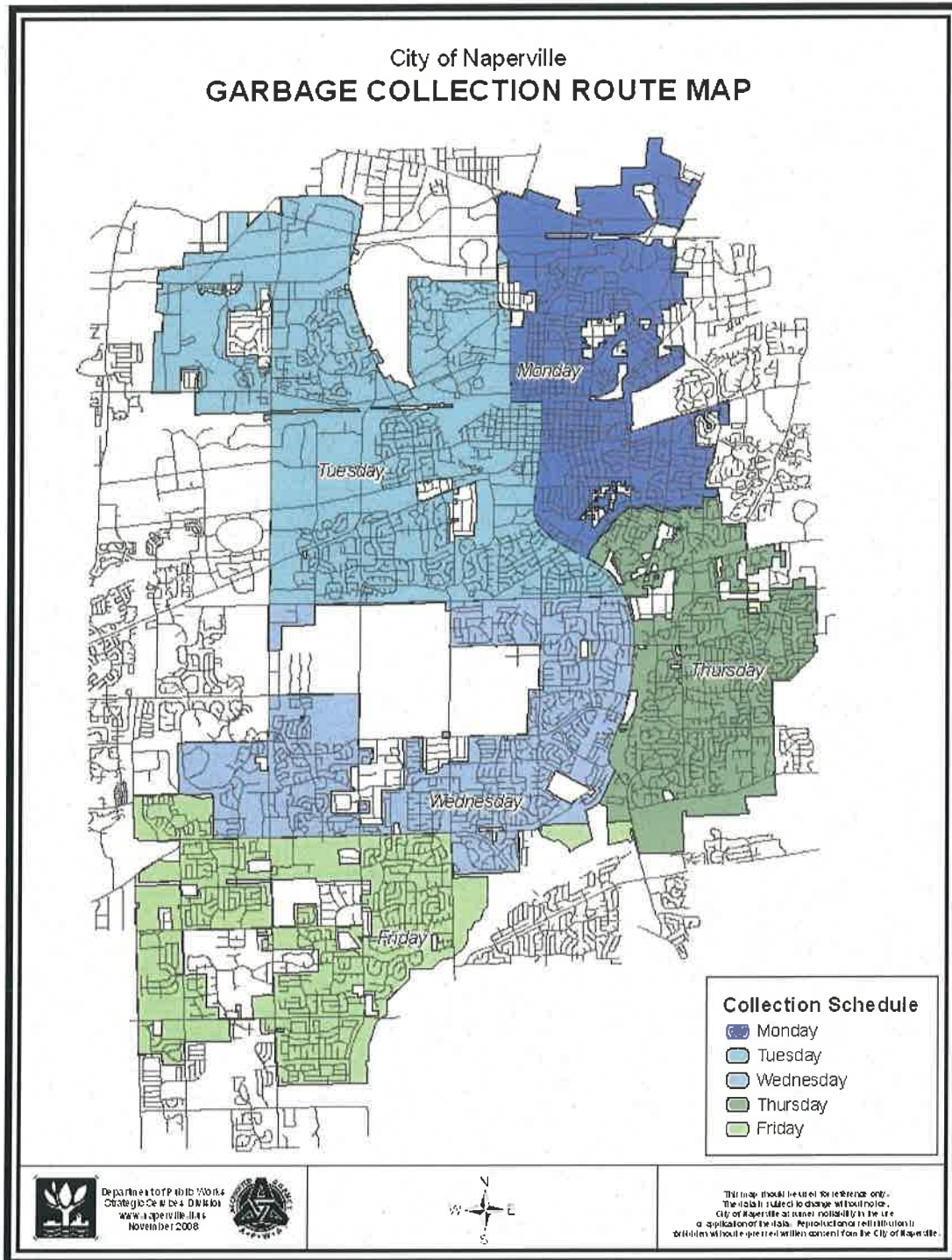
Fire Station # 7	1392 Aurora Ave	1 -2 yard container	2X week	3- 65 gallon container	1X week
Fire Station # 8	1320 Modaff Rd	1 -2 yard container	1X week	1 -65 gallon container	1X week
Fire Station # 9 (shared w/NOC)	1200 W Ogden Ave	3 -2 yard containers	1X week	1-2.0 yard FR recycling	1X week
Fire Station # 10	3201 95th	1 -2 yard container	1X week	2 - 65 gallon containers	1X week
Electric Service Center-Utilities		1 - 2 yard container, 1-20 yard container	2X week	2-2.0 yard FR recycling	1X week
Water-NOC (shared w/FSNO 9)		See Fire Station # 9	1X week	See Fire Station # 9	1X week
Water-SOC		2 - 2 yard containers	2X month	NA	
Water - Springbrook Reclamation	3712 Naper-Plainfield Rd				
Admin Building		2 - 1.5 yard containers	2X week	2 - 65 gallon containers	1X week
Biosolids Processing		1 - 1.5 yard container	2X week	NA	
Screening Building		4 - 1.5 yard containers	2X week	NA	
Biosolids Storage		1 - 4 yard container w/wet material filter	1X week	NA	
Water-Civil Engineering	3612 Naper-Plainfield Rd	1 - 2 yard container	1X week	2 - 65 gallon containers	1X week
Fifth Ave Train Station	105 E 4th Ave	1 -2 yard container	1X week	2 - 65 gallon containers	1X week

Chicago Avenue Parking Deck		1 - 2 yard container	1X week	NA	
Naperville Safety Town	1320 Aurora Ave			1-65 Gallon cart	1X week
Nichols Library	200 W Jefferson	2 yard containers	1X week	4 yard container	1X week
Naper Blvd Library	2035 S Naper Blvd	2 yard container	1X week	2 yard container	1X week
95th Street Library	3015 Cedar Glade Dr	2 yard containers	1X week	2 yard container	1X week
Naper Settlement	523 S Webster	2 yard container, 20 yard roll-off	varies	2 yard container	varies
*In addition to the containers noted above, the Contractor shall also provide containers requested by the City for the collection of Landscape Waste.					

APPENDIX "B"

ROUTE MAP

Single Family Households Current Collection Days Map



APPENDIX "C"

INSURANCE REQUIREMENTS FOR THE AGREEMENT FOR COLLECTION OF REFUSE, RECYCLING, LANDSCAPE AND OTHER WASTE MATERIALS PER RFP 18-041 ("Agreement")

A. Insurance Provided. At the Contractor's expense, the Contractor shall procure and maintain in effect throughout the entire Term of the Agreement, and any extension thereof, insurance as provided herein against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, and Contractor's agents, representatives, employees, subcontractors, and subsidiaries.

The limits of liability for the insurance required shall provide coverage for not less than the following amounts, or greater where required by law.

Type of Insurance

Required Limits of Liability

1. Workers' Compensation	As required by State law
2. Employers' Liability	\$1,000,000 per accident \$1,000,000 disease (policy limit) \$1,000,000 disease (each employee)
3. Commercial General Liability, including "occurrence" coverage for:	
A. Premises and operations, independent contractors protective, contractual liability, broad form property damage and XCU hazards	\$2,000,000 per occurrence for bodily injury and property damage combined. \$5,000,000 annual aggregate per policy for bodily injury and property damage combined.
B. Products and completed operations (including broad form property damage)	\$2,000,000 per occurrence for bodily injury and property damage combined. \$2,000,000 annual aggregate for bodily injury and property damage combined.
C. Personal injury liability	\$2,000,000 per occurrence \$2,000,000 annual aggregate
4. Business Auto liability (including owned, non- owned and hired vehicles and coverage for environmental liability)	\$10,000,000 per accident for bodily injury and property damage combined.

- | | |
|--|--|
| 5. Contractors Pollution liability (applicable to the work being performed under this agreement) | \$2,000,000 per claim or occurrence and \$2,000,000 aggregate per policy period of one year. |
| 6. Umbrella/Excess liability (to apply as excess over 2, 3 and 4 above) | \$5,000,000 per occurrence
\$5,000,000 annual aggregate |

B. Miscellaneous Provisions

1. Prior to staff recommending the award of the Agreement to City Council, the Contractor shall deliver, or cause to be delivered, to the City Certificates of Insurance, additional insured endorsements (general liability and pollution liability), primary and non-contributory endorsement (general liability), waiver of subrogation endorsement (workers compensation), and other evidence of insurance requested by the City confirming that the Contractor is in compliance with the insurance requirements herein. If requested, the Contractor will give the City a copy of the insurance policies, subject to appropriate redactions. The policies must be delivered (e-mail is sufficient) to the City within two weeks of the request.

2. The Contractor shall deliver, or cause to be delivered, certificates of renewal or replacement policies or coverage (and endorsements) no less than thirty (30) days prior to the effective date of each renewal or replacement policy or coverage (and endorsements).

The Certificate of Liability Insurance shall, in addition to all language required per the attached sample certificate provide that: "No endorsements or additional forms modify or limit the coverage provided to the additional insureds."

3. All insurance companies must be reasonably acceptable to the City and may include self-insurance obtained by the Contractor. Minimum insurance carrier requirements include a current rating from A.M. Best Co., Inc. (or any successor publication of comparable standing within the industry) of "A VIII" and a license to do business in the State of Illinois.
4. All insurance coverage required to be purchased and maintained shall contain a provision or endorsement providing that the coverage afforded will not be cancelled, materially reduced or altered or renewal refused until at least thirty (30) days' prior written notice has been given to the City by certified mail. Should any of the insurance policies be canceled before the expiration date, the issuing company will mail thirty (30) days written notice to the City and the Contractor shall obtain replacement coverage in accord with the provisions set forth herein and provide the City with documentation reflecting such replacement prior to said expiration date of the canceled policies.
5. The Contractor shall require and verify that any sub-contractors and subsidiaries which perform any Services under the Agreement carry and maintain insurance in the same types and amounts set forth herein at all times relevant to this Agreement, which insurance names the City and its officers, officials, employees and volunteers as

additional insureds as provided herein, and which insurance meets all terms and conditions set forth herein.

6. Contractor shall provide the City with documentation reflecting its deductibles or self-insured retention amounts. At the option of the City, either the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the City, its officers, officials, employees and volunteers; or the Contractor shall provide a financial guarantee satisfactory to the City guaranteeing payment of losses and related investigations, claim administration and defense expenses. If those amounts change during the term of this Agreement, Contractor shall promptly notify the City in writing of the revised self-insurance retention amounts.
7. Contractor may carry the coverage limits identified herein through a combination of underlying coverage and excess umbrella policies.
8. The insurance policies set forth in items A: 3, 4, 5 and 6 above are to contain, or be endorsed to contain, the following provisions:
 - a. The City, its officers, officials, employees and volunteers are to be covered as additional insureds with respect to liability arising out of automobiles owned, leased, hired or borrowed by or on behalf of the Contractor; and with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts or equipment furnished in connection with such work or operations. General Liability coverage shall be provided in the form of an Additional Insured endorsement to the Contractor's insurance policy, or as a separate owner's policy.
 - b. For any claims related to the performance of the Contractor's work, Contractor's insurance coverage shall be primary insurance as respects the City, its officers, officials, employees and volunteers. Any insurance or self-insurance maintained by the City, its officers, officials, employees or volunteers shall be excess of the Contractor's insurance and shall not contribute with it.
9. The insurance policies set forth in items A: 3, 5 and 6 above shall continue to be maintained for a period of two (2) years following the expiration or termination of this Agreement.
10. If Contractors Pollution Liability is written on a claims-made form:
 - 10.1 The retroactive date must be shown, and must be before the date of the Agreement or the beginning of work covered by the Agreement.
 - 10.2 Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the work covered by the Agreement.
 - 10.3 If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a retroactive date prior to the contract effective date, the

Contractor must purchase an extended period coverage for a minimum of five (5) years after completion of contract work.

10.4 The Automobile Liability policy shall be endorsed to include Transportation Pollution Liability insurance, covering materials to be transported by Contractor pursuant to the contract. This coverage may also be provided on the Contractors Pollution Liability policy.

APPENDIX "D"

PERFORMANCE BOND

CONTRACTOR (Name and Address):

SURETY (Name and Address):

BENEFICIARY/OBLIGEE:

City of Naperville ("City")
400 South Eagle Street
Naperville, IL 60540
Attention: Director of Department of Public Works

**Agreement: "AGREEMENT FOR COLLECTION OF REFUSE RECYCLING, LANDSCAPE
AND OTHER WASTE MATERIALS PER RFP 18-041"**

Date:

Amount:

Description (Name and Location):

BOND DATE (Not earlier than In-Service Date):

CONTRACTOR AS PRINCIPAL:

Company:

By: (Signature): _____

Printed Name: _____

Its: (Name and Title): _____

SURETY

Company:

By: (Signature): _____

Printed Name: _____

Its: (Name and Title): _____

(Any additional signatures appear on page ____)

(FOR INFORMATION ONLY--Name, Address and Telephone)

AGENT or BROKER:

1. The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the City for the performance of the Agreement, which is incorporated herein and made part hereof by reference.
2. If the Contractor performs the Agreement, the Surety and the Contractor shall have no obligation under this Bond, except to participate in conferences as provided in subsection 3(a).
3. If there is no City Default, the Surety's obligation under this Bond shall arise after:
 - a) The City has notified the Contractor and the Surety at the addresses listed on the signature page below that the City has declared a Contractor Default and terminated the Contractor's right to complete the Agreement. The City shall provide the Surety with a notice of default simultaneously as such notice is provided to the Contractor.
4. Upon notification to the Surety as provided in subsection 3 above, the Surety shall, promptly and at the Surety's expense, take one of the following actions:
 - a) Arrange for the Contractor, with consent of the City, to perform and complete the Agreement; or
 - b) Undertake to perform and complete the Agreement itself, through its agents or through independent contractors if acceptable to the City; or
 - c) Waive its right to perform and complete, arrange for completion, or obtain a new contractor and immediately determine the amount for which it is liable to the City and tender payment to the City within thirty (30) days; or
 - d) Reach an agreement with the City other than the options listed in (a) through (c) above.

In addition to (a) through (d) above, the Surety shall pay to the City those costs documented by the City as necessarily expended by the City as a result of the Contractor's default under the Agreement. Alternatively, the Surety may agree to have the City deduct those amounts from any amounts to be paid by the City to a replacement Contractor provided for by the Surety.

5. If the Surety does not proceed as provided in Subsection 4, the Surety shall be deemed to be in default on this Bond ten (10) days after receipt of an additional written notice from the City to the Surety demanding that the Surety perform its

obligations under this Bond, and the City shall be entitled to enforce any remedy available to the City.

6. After the City has terminated the Contractor's right to complete the Agreement, and if the Surety elects to act under Section 4 above, then the responsibilities of the Surety to the City shall not be greater than those of the Contractor under the Contract, and the responsibilities of the City to the Surety shall not be greater than those of the City under the Agreement. To the limit of the amount of this Bond, but subject to commitment by the City of the Balance of the Contract Price to mitigation of costs and damages on the Contract, the Surety is obligated for:
 - i. The responsibilities of the Contractor for performance of the Agreement;
 - ii Additional costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 4;
 - iii Liquidated damages as provided in the Contract, or if no liquidated damages are provided for in the Agreement for such event, actual damages caused by delayed performance or non-performance of the Contractor.
 - iv) The responsibilities of the Contractor for obtaining the insurance specified in the Contract and for fulfilling the indemnification obligations undertaken by the Contractor in the Agreement.
7. The Surety shall not be liable to the City or others for obligations of the Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the City or its heirs, executors, administrators or successors.
8. The Surety hereby waives notice of any addition, alteration, modification or amendments to the Agreement.
9. The Surety further agrees and commits to pay the City six percent (6%) pre-judgment interest and consequential damages, including all of its costs and attorneys' fees reasonably incurred in the enforcement of this Irrevocable Bond if wrongfully dishonored by Surety.
10. The laws of the State of Illinois shall control any dispute or action arising out of this Irrevocable Public Improvement Bond. Any legal action to enforce any right or obligation arising out of the enforcement of this Irrevocable Public Improvement Bond shall be brought in the 18th Judicial Circuit, DuPage County, Illinois.
11. Notice to the Surety, the City or the Contractor shall be mailed or delivered to the addresses shown on the signature page below.
12. Definitions.

- a) The Balance of the Contract Price: The total amount payable by the City to the Contractor under the Contract after all proper adjustments have been made and reduced by all valid and proper payments made to or on behalf of the Contractor under the Contract.
- b) Contractor Default: As defined in the Agreement.

/SIGNATURES ON FOLLOWING PAGES/

CONTRACTOR AS PRINCIPAL

Company: (Corporate Seal)

Signature: _____

Printed Name and Title: _____

Address and Telephone Number: _____

State of Illinois)

County of _____)

The foregoing instrument was acknowledged before me by _____, this _____ day
of _____, 2018.

- seal -

Notary Public

SURETY

Company: (Corporate Seal)

Signature: _____

Printed Name and Title: _____

Address and Telephone Number: _____

State of Illinois)

County of _____)

The foregoing instrument was acknowledged before me by _____, this _____ day of _____, 2018.

- seal -

Notary Public

AFFIDAVIT OF COMPLIANCE

APPLICANT: Groot, Inc

Name

2500 Landmeier Rd, Elk Grove Village, IL 60007

Address

Federal Tax I.D. # 36-3527116

As a condition of entering into a contract with the City of Naperville, and under oath and penalty of perjury and possible termination of contract rights and debarment, the undersigned,

(Please Print or Type) Peter Lyons

being first duly sworn on oath, deposes and states that he is Division Vice President

(the sole owner, a partner, a joint venturer, the President, the Secretary, etc.) of _____

Groot, Inc (Name of Company),
the party making the foregoing bid, and that he has the authority to make any disclosures or certifications required by this Affidavit on behalf of the bidder and that all the information contained in this Affidavit is true and correct in both substance and fact.

DISCLOSURE OF BENEFICIARIES

Section 1. Ordinance 85-193, an ordinance amending Title 1 (Administrative) of the Naperville Municipal Code, as amended, by adding Chapter 12 thereto requires disclosure of certain interests by persons applying for permits, licenses, approvals or benefits from the City of Naperville.

- A. Nature of Benefit sought by the undersigned (state Bid or RFP No.). 18-041
- B. Nature of Applicant: (Please check one)
- | | | | |
|-----------------------|----------|------------------|-------|
| 1. Natural person | _____ | 4. Trust/Trustee | _____ |
| 2. Corporation | <u>X</u> | 5. Partnership | _____ |
| 3. Land Trust/Trustee | _____ | 6. Joint Venture | _____ |

- C. If applicant is an entity other than described in Section B, briefly state the nature and characteristics of the applicant below.

N/A

- D. If in your answer to subsection B you have checked box 1, 2, 3, 4, 5, or 6 identify by name and address each person or entity who is a 5% shareholder in the case of a corporation, a beneficiary in the case of a trust or land trust, a joint venturer in the case of a joint venture, or who otherwise has a propriety interest, interest in profits and losses or right to control such entity:

NAME	ADDRESS	PERCENT OF INTEREST
------	---------	---------------------

IESI Corporation	- 3 Waterway Square Place, Suite 110	100%
------------------	--------------------------------------	------

1. The Woodlands, TX	77380	
----------------------	-------	--

2.

3.

4.

IMPORTANT NOTE: In the event your answer to Section 1 identified entities other than a natural person, additional disclosures are required for each such entity.

BID RIGGING AND BID ROTATING

Section 2: That in connection with this procurement,

- A. The bid is not made in the interest of or on behalf of any undisclosed person, partnership, company, association, organization or corporation;
- B. The bidder has not in any manner directly or indirectly sought by consultation, communication or agreement with anyone to fix the bid price of said bidder or any other bidder or to fix any overhead profit or cost element of such bid price or that of any other bidder or to secure any advantage against the public body awarding the contract or anyone interested in the proper contract;
- C. The bid is genuine and not collusive or sham;

- D. The prices or breakdowns thereof and any and all contents which had been quoted in this bid have not been knowingly disclosed by the bidder and will not be knowingly disclosed by the bidder directly or indirectly to any other bidder or any competitor prior to opening;
- E. All statements contained in such bid are true;
- F. No attempt has been made or will be made by the bidder to induce any other person or firm to submit a false or sham bid;
- G. No attempt has been made or will be made by the bidder to induce any other person or firm to submit or not to submit a bid for the purpose of restricting competition;

Section 3. The undersigned further states that: (circle A or B)

- A. He is the person in the bidder's organization responsible within that organization for the decision as to the prices being bid herein and that he has not participated, and will not participate, in any action contrary to paragraphs A through G above; or
- ☒ B. He is not the person in the bidder's organization responsible within that organization for the decision as to the prices being bid herein but that he has been authorized to act as agent for the persons responsible for such decision in certifying that such persons have not participated, and will not participate, in any action contrary to paragraphs A through G above and as their agent does hereby so certify; and
- C. That he has not participated, and will not participate, in any action contrary to paragraphs A through G above.

Section 4. The undersigned certifies that the bidder has never been convicted for a violation of State laws prohibiting bid rigging or bid rotating.

**THE REQUIREMENTS OF THE
ILLINOIS DRUG FREE WORKPLACE ACT**

Section 5. The undersigned will publish a statement:

- A. Notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the aforementioned company's workplace;
- B. Specifying the actions that will be taken against employees for violations of this prohibition;

- C. Notifying the employees that, as a condition of their employment to do work under the contract with the City of Naperville, the employees will:
 - 1. Abide by the terms of the statement; and
 - 2. Notify the aforementioned company of any criminal drug statute conviction for a violation occurring in the workplace not later than five (5) days after such a conviction.
- D. Establishing a drug free awareness program to inform the aforementioned company's employees about:
 - 1. The dangers of drug abuse in the workplace;
 - 2. The aforementioned company's policy of maintaining a drug free workplace;
 - 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - 4. The penalties that may be imposed upon employees for drug violations.
- E. Making it a requirement to give a copy of the statement required by Section 5. to each employee engaged in the performance of the contract with the City of Naperville and to post the statement in a prominent place in the workplace;
- F. Notifying the City of Naperville within ten (10) days after receiving notice under Section 5.C.2. from an employee or otherwise receiving actual notice of such a conviction;
- G. Imposing a sanction on, or requiring the satisfactory participation in drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by Section 6., below;
- H. Training personnel to effectively assist employees in selecting a proper course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that an effectively trained counseling and referral team is in place;
- I. Making a good faith effort to continue to maintain a drug free workplace through implementing these requirements.
- J. Making a good faith effort to continue to maintain a drug free workplace through implementation of this policy.

Section 6. The undersigned further affirms that within thirty (30) days after receiving notice from an employee of a conviction of a violation of the criminal drug statute occurring in the aforementioned company's workplace he shall:

- A. Take appropriate personnel action against such employee up to and including termination; or
- B. Require the employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.

TAX COMPLIANCE

Section 7. The undersigned on behalf of the entity making the foregoing proposal certifies that neither the undersigned nor the entity is barred from contracting with the City of Naperville because of any delinquency in the payment of any tax administered by the State of Illinois, Department of Revenue, unless the undersigned or the entity is contesting, in accordance with the procedures established by the appropriate revenue act, liability of the tax or the amount of tax.

Section 8. The undersigned or the entity making the proposal or bid understands that making a false statement regarding delinquency in taxes is a Class A Misdemeanor and in addition, voids the contract and allows the municipality to recover all amounts paid to the individual or entity under the contract in a civil action.

EQUAL EMPLOYMENT OPPORTUNITY

Section 9. This EQUAL EMPLOYMENT OPPORTUNITY CLAUSE is required by the Illinois Human Rights Act and the Rules and Regulations of the Illinois Department of Human Rights published at 44 Illinois Administrative Code Section 750, et seq.

Section 10. In the event of the contractor's noncompliance with any provision of this Equal Employment Opportunity Clause, the Illinois Human Right Act, or the Rules and Regulations for Public Contracts of the Department of Human Rights (hereinafter referred to as the Department) the contractor may be declared non-responsible and therefore ineligible for future contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations, and the contract may be canceled or avoided in whole or in part, and such other sanctions or penalties may be imposed or remedies involved as provided by statute or regulation.

During the performance of this contract, the contractor agrees:

- A. That it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, disability, citizenship status, national origin, veteran status, marital status, sexual orientation, gender identity or any other characteristic that is protected by law. Further, that it will examine all job

classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any such underutilization.

- B. That, if it hires additional employees in order to perform this contract, or any portion hereof, it will determine the availability (in accordance with the Department's Rules and Regulations for Public Contracts) of minorities and women in the area(s) from which it may reasonably recruit and it will hire for each job classification for which employees are hired in such a way that minorities and women are not underutilized.
- C. That, in all solicitations or advertisements for employees placed by it or on its behalf, it will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, marital status, national origin or ancestry, age, physical or mental handicap unrelated to ability, or an unfavorable discharge from military service.
- D. That it will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining or other agreement or understanding, a notice advising such labor organization or representative of the contractor's obligations under the Illinois Human Rights Act and the Department's Rules and Regulations for Public Contract. If any such labor organization or representative fails or refuses to cooperate with the contractor in its efforts to comply with such Act and Rules and Regulations, the contractor will promptly so notify the Department and the contracting agency will recruit employees from other sources when necessary to fulfill its obligations thereunder.
- E. That it will submit reports as required by the Department's Rules and Regulations for Public Contracts, furnish all relevant information as may from time to time be requested by the Department or the contracting agency, and in all respects comply with the Illinois Human Rights Act and the Department's Rules and Regulations for Public Contracts.
- F. That it will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Department for purposes of investigation to ascertain compliance with the Illinois Human Rights Act and the Department's Rules and Regulations for Public Contracts.
- G. That it will include verbatim or by reference the provisions of this Equal Employment Opportunity Clause in every subcontract it awards under which any portion of the contract obligations are undertaken or assumed, so that such provisions will be binding upon such subcontractor. In the same manner as the other provisions of this contract, the contractor will be liable for compliance with applicable provisions of this clause by such subcontractors; and further it will promptly notify the contracting agency and the Department in the event any subcontractor fails or refuses to comply therewith. In addition, the contractor will

not utilize any subcontractor declared by the Illinois Human Rights Department to be ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

Section 11. For the purposes of subsection G of Section 10, "Subcontract" means any agreement, arrangement or understanding, written or otherwise, between a public contractor and any person under which any portion of the public contractor's obligations under one or more public contracts is performed, undertaken or assumed; the term "subcontract," however, shall not include any agreement, arrangement or understanding in which the parties stand in the relationship of an employer and an employee, or between a bank or other financial institution and its customers.

Section 12. It is expressly understood that the foregoing statements and representations and promises are made as a condition to the right of the bidder to receive payment under any award made under the terms and provisions of this bid.

Section 13. Have written sexual harassment policies that shall include, at a minimum, the following information: (i) the illegality of sexual harassment; (ii) the definition of sexual harassment under State law; (iii) a description of sexual harassment, utilizing examples; (iv) the vendor's internal complaint process including penalties; (v) the legal recourse, investigative and complaint process available through the Department and the Commission; (vi) directions on how to contact the Department and Commission; and (vii) protection against retaliation as provided by Section 6-101 of this Act. A copy of the policies shall be provided to the Department upon request.

THE AMERICANS WITH DISABILITIES ACT

Section 14. The Americans with Disabilities Act (42 U.S.C. 12101 et seq.) and the regulations thereunder (28 CFR 35.130) (ADA) prohibit discrimination against persons with disabilities by the State, whether directly or through contractual arrangements, in the provision of any aid, benefit or service. As a condition of receiving this contract, the undersigned vendor certifies that services, programs and activities provided under this contract are and will continue to be in compliance with the ADA.

ILLINOIS PREVAILING WAGE

Section 15. The undersigned shall comply with the applicable requirements of the *Illinois Prevailing Wage Act, 820 ILCS sec. 130/0.01 et seq.* as amended for public works projects.

EMPLOYEE SAFETY AND HEALTH

Section 16. The undersigned shall comply with all applicable Laws and Regulations of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor's duties and responsibilities for the safety and protection of the work shall continue until such time as all the work is completed and accepted by the City.

- A. Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the work. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to all employees on the work; all work, materials and equipment to be incorporated therein, whether in storage on or off site; and other property at the site or adjacent thereto in the course of construction.

Signed by: 

Name Peter Lyons

Title Division Vice President

APPENDIX "D"

Bond No. SUR0052469

PERFORMANCE BOND

CONTRACTOR (Name and Address):

Groot Industries, Inc.
1565 Aurora Ave. Lane, Aurora, IL 60505

SURETY (Name and Address):

Argonaut Insurance Company
225 W. Washington, 24th Floor
Chicago, IL 60606

BENEFICIARY/OBLIGEE:

City of Naperville ("City")
400 South Eagle Street
Naperville, IL 60540
Attention: Director of Department of Public Works

Agreement: "AGREEMENT FOR COLLECTION OF REFUSE RECYCLING, LANDSCAPE AND OTHER WASTE MATERIALS PER RFP 18-041"

Date: May 1, 2019


Amount: \$1,462,860.00

Description (Name and Location): Collection of Refuse, Recycling, Landscape Waste and Other Waste Materials

BOND DATE (Not earlier than In-Service Date): May 1, 2019

CONTRACTOR AS PRINCIPAL:

Company: Groot Industries, Inc.


By: (Signature): 

Printed Name: James M. Little

Its: (Name and Title): Sr. Vice President

SURETY

Company: Argonaut Insurance Company

By: (Signature): 

Printed Name: Jennifer J. McComb

Its: (Name and Title): Attorney-in-Fact

(Any additional signatures appear on page 63 & 64)

(FOR INFORMATION ONLY--Name, Address and Telephone)

AGENT or BROKER: HUB International Midwest Limited - (630) 468-5600

1411 Opus Place, Suite 450 Downers Grove, IL 60514

1. The Contractor and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the City for the performance of the Agreement, which is incorporated herein and made part hereof by reference.
2. If the Contractor performs the Agreement, the Surety and the Contractor shall have no obligation under this Bond, except to participate in conferences as provided in subsection 3(a).
3. If there is no City Default, the Surety's obligation under this Bond shall arise after:
 - a) The City has notified the Contractor and the Surety at the addresses listed on the signature page below that the City has declared a Contractor Default and terminated the Contractor's right to complete the Agreement. The City shall provide the Surety with a notice of default simultaneously as such notice is provided to the Contractor.
4. Upon notification to the Surety as provided in subsection 3 above, the Surety shall, promptly and at the Surety's expense, take one of the following actions:
 - a) Arrange for the Contractor, with consent of the City, to perform and complete the Agreement; or
 - b) Undertake to perform and complete the Agreement itself, through its agents or through independent contractors if acceptable to the City; or
 - c) Waive its right to perform and complete, arrange for completion, or obtain a new contractor and immediately determine the amount for which it is liable to the City and tender payment to the City within thirty (30) days; or
 - d) Reach an agreement with the City other than the options listed in (a) through (c) above.

In addition to (a) through (d) above, the Surety shall pay to the City those costs documented by the City as necessarily expended by the City as a result of the Contractor's default under the Agreement. Alternatively, the Surety may agree to have the City deduct those amounts from any amounts to be paid by the City to a replacement Contractor provided for by the Surety.

5. If the Surety does not proceed as provided in Subsection 4, the Surety shall be deemed to be in default on this Bond ten (10) days after receipt of an additional written notice from the City to the Surety demanding that the Surety perform its

obligations under this Bond, and the City shall be entitled to enforce any remedy available to the City.

6. After the City has terminated the Contractor's right to complete the Agreement, and if the Surety elects to act under Section 4 above, then the responsibilities of the Surety to the City shall not be greater than those of the Contractor under the Contract, and the responsibilities of the City to the Surety shall not be greater than those of the City under the Agreement. To the limit of the amount of this Bond, but subject to commitment by the City of the Balance of the Contract Price to mitigation of costs and damages on the Contract, the Surety is obligated for:
 - i. The responsibilities of the Contractor for performance of the Agreement;
 - ii Additional costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 4;
 - iii Liquidated damages as provided in the Contract, or if no liquidated damages are provided for in the Agreement for such event, actual damages caused by delayed performance or non-performance of the Contractor.
 - iv) The responsibilities of the Contractor for obtaining the insurance specified in the Contract and for fulfilling the indemnification obligations undertaken by the Contractor in the Agreement.
7. The Surety shall not be liable to the City or others for obligations of the Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the City or its heirs, executors, administrators or successors.
8. The Surety hereby waives notice of any addition, alteration, modification or amendments to the Agreement.
9. The Surety further agrees and commits to pay the City six percent (6%) pre-judgment interest and consequential damages, including all of its costs and attorneys' fees reasonably incurred in the enforcement of this Irrevocable Bond if wrongfully dishonored by Surety.
10. The laws of the State of Illinois shall control any dispute or action arising out of this Irrevocable Public Improvement Bond. Any legal action to enforce any right or obligation arising out of the enforcement of this Irrevocable Public Improvement Bond shall be brought in the 18th Judicial Circuit, DuPage County, Illinois.
11. Notice to the Surety, the City or the Contractor shall be mailed or delivered to the addresses shown on the signature page below.
12. Definitions.

- a) **The Balance of the Contract Price:** The total amount payable by the City to the Contractor under the Contract after all proper adjustments have been made and reduced by all valid and proper payments made to or on behalf of the Contractor under the Contract.
- b) **Contractor Default:** As defined in the Agreement.

/SIGNATURES ON FOLLOWING PAGES/

CONTRACTOR AS PRINCIPAL

Signed and Sealed the 5th day of November, 2018.

Groot Industries, Inc.

Company: (Corporate Seal)

Signature: [Signature]

Printed Name and Title: James M. Little, Sr. Vice President

Address and Telephone Number: 1565 Aurora Ave Lane, Aurora, IL 60505 (847) 734-6393

State of Texas)

County of Montgomery)

The foregoing instrument was acknowledged before me by James M. Little, this 6th day of November, 2018.

- seal -

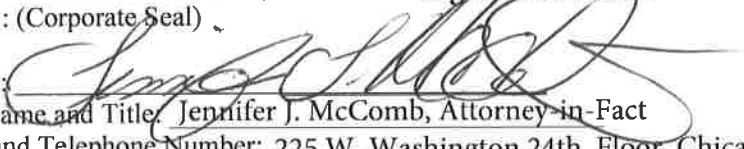
[Signature]
Notary Public
Samantha Herrera Gordon



SURETY

Argonaut Insurance Company
Company: (Corporate Seal)

Signed and Sealed the 5th day of November, 2018.

Signature: 

Printed Name and Title: Jennifer J. McComb, Attorney-in-Fact

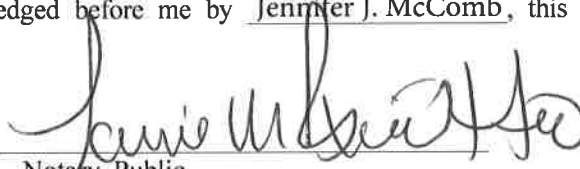
Address and Telephone Number: 225 W. Washington 24th Floor, Chicago, IL 60606 (281) 640-7912

State of Illinois)

County of DuPage)

The foregoing instrument was acknowledged before me by Jennifer J. McComb, this 5th day of November, 2018.

- seal -


Notary Public
Tariese M. Pisciotto



Argonaut Insurance Company
Deliveries Only: 225 W. Washington, 24th Floor
Chicago, IL 60606

United States Postal Service: P.O. Box 469011, San Antonio, TX 78246

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the Argonaut Insurance Company, a Corporation duly organized and existing under the laws of the State of Illinois and having its principal office in the County of Cook, Illinois does hereby nominate, constitute and appoint:

Stephen T. Kazmer, Elaine Marcus, James I. Moore, Dawn L. Morgan, Diane M. Rubright, Jennifer J. McComb, Amy Wickett

Their true and lawful agent(s) and attorney(s)-in-fact, each in their separate capacity if more than one is named above, to make, execute, seal and deliver for and on its behalf as surety, and as its act and deed any and all bonds, contracts, agreements of indemnity and other undertakings in suretyship provided, however, that the penal sum of any one such instrument executed hereunder shall not exceed the sum of:

\$75,000,000.00

This Power of Attorney is granted and is signed and sealed under and by the authority of the following Resolution adopted by the Board of Directors of Argonaut Insurance Company:

"RESOLVED, That the President, Senior Vice President, Vice President, Assistant Vice President, Secretary, Treasurer and each of them hereby is authorized to execute powers of attorney, and such authority can be executed by use of facsimile signature, which may be attested or acknowledged by any officer or attorney, of the Company, qualifying the attorney or attorneys named in the given power of attorney, to execute in behalf of, and acknowledge as the act and deed of the Argonaut Insurance Company, all bond undertakings and contracts of suretyship, and to affix the corporate seal thereto."

IN WITNESS WHEREOF, Argonaut Insurance Company has caused its official seal to be hereunto affixed and these presents to be signed by its duly authorized officer on the 8th day of May, 2017.



Argonaut Insurance Company

by: _____

Joshua C. Betz, Senior Vice President

STATE OF TEXAS
COUNTY OF HARRIS SS:

On this 8th day of May, 2017 A.D., before me, a Notary Public of the State of Texas, in and for the County of Harris, duly commissioned and qualified, came THE ABOVE OFFICER OF THE COMPANY, to me personally known to be the individual and officer described in, and who executed the preceding instrument, and he acknowledged the execution of same, and being by me duly sworn, deposed and said that he is the officer of the said Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and the said Corporate Seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said corporation, and that Resolution adopted by the Board of Directors of said Company, referred to in the preceding instrument is now in force.

IN TESTIMONY WHEREOF, I have hereunto set my hand, and affixed my Official Seal at the County of Harris, the day and year first above written.



Kathleen M. Meeks

(Notary Public)

I, the undersigned Officer of the Argonaut Insurance Company, Illinois Corporation, do hereby certify that the original POWER OF ATTORNEY of which the foregoing is a full, true and correct copy is still in full force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto set my hand, and affixed the Seal of said Company, on the 5th day of November, 2018



James Bluzard

James Bluzard, Vice President-Surety

THIS DOCUMENT IS NOT VALID UNLESS THE WORDS ARGO POWER OF ATTORNEY AND THE SERIAL NUMBER IN THE UPPER RIGHT HAND CORNER ARE IN BLUE, AND THE DOCUMENT IS ISSUED ON WATERMARKED PAPER. IF YOU HAVE QUESTIONS ON AUTHENTICITY OF THIS DOCUMENT CALL (210) 321 - 8400.



CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY)
11/26/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Aon Risk Services Southwest, Inc.
Houston TX office
5555 San Felipe
Suite 1500
Houston TX 77056 USA

CONTACT
NAME:
PHONE (A/C. No. Ext): (866) 283-7122 FAX (A/C. No.): (800) 363-0105
E-MAIL ADDRESS:

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURED
Groot Industries, Inc.
1565 Aurora Avenue Ln.
Aurora IL 60505 USA

INSURER A:	ACE American Insurance Company	22667
INSURER B:	ACE Property & Casualty Insurance Co.	20699
INSURER C:	Indemnity Insurance Co of North America	43575
INSURER D:	ACE Fire Underwriters Insurance Co.	20702
INSURER E:		
INSURER F:		

COVERAGES

CERTIFICATE NUMBER: 570073970406

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

Limits shown are as requested

INSR LTR	TYPE OF INSURANCE	ADDITIONAL INSURED	SUBROGATION	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:	Y		HD0G71094777	08/01/2018	08/01/2019	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) Excluded PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$5,000,000 PRODUCTS - COMP/OP AGG \$2,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY	Y		ISA H25159159	08/01/2018	08/01/2019	COMBINED SINGLE LIMIT (Ea accident) \$5,000,000 BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION	Y		X00G27614620004 SIR applies per policy terms & conditions	08/01/2018	08/01/2019	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y		WLRC65226303 AOS SCFC65431622 WI	08/01/2018	08/01/2019	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$1,500,000 E.L. DISEASE-EA EMPLOYEE \$1,500,000 E.L. DISEASE-POLICY LIMIT \$1,500,000
D		N/A					

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: RFP #18-041, Residential Refuse Waste and Recycling Collection Program. The City, its officers, officials, employees and volunteers are included as Additional Insured in accordance with the policy provisions of the General Liability and Automobile Liability policies. Umbrella is Follow Form. General Liability policy evidenced herein is Primary and Non-Contributory to other insurance available to an Additional Insured, but only in accordance with the policy's provisions. A Waiver of Subrogation is granted in favor of Certificate Holder in accordance with the policy provisions of the Workers' Compensation policy. Contractual Liability is written into the coverage form of the General Liability Policy but is subject to all terms, conditions, limitations and exclusions of the policy.

CERTIFICATE HOLDER

City of Naperville
400 South Eagle Street
Naperville IL 60540 USA

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Aon Risk Services Southwest, Inc.

Holder Identifier : BCDEG

Certificate No : 570073970406

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CERTIFICATE OF LIABILITY INSURANCE

DATE(MM/DD/YYYY)
11/26/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Aon Risk Services Southwest, Inc. Houston TX Office 5555 San Felipe Suite 1500 Houston TX 77056 USA	CONTACT NAME: PHONE (A/C. No. Ext.): (866) 283-7122 FAX (A/C. No.): 800-363-0105 E-MAIL ADDRESS:														
INSURED Groot Industries, Inc. 1565 Aurora Avenue Ln. Aurora IL 60505 USA	<table border="1"><thead><tr><th>INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr></thead><tbody><tr><td>INSURER A: Illinois Union Insurance Company</td><td>27960</td></tr><tr><td>INSURER B:</td><td></td></tr><tr><td>INSURER C:</td><td></td></tr><tr><td>INSURER D:</td><td></td></tr><tr><td>INSURER E:</td><td></td></tr><tr><td>INSURER F:</td><td></td></tr></tbody></table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A: Illinois Union Insurance Company	27960	INSURER B:		INSURER C:		INSURER D:		INSURER E:		INSURER F:	
INSURER(S) AFFORDING COVERAGE	NAIC #														
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INSURER B:															
INSURER C:															
INSURER D:															
INSURER E:															
INSURER F:															

COVERAGES

CERTIFICATE NUMBER: 570073970416

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. **Limits shown are as requested**

INSR LTR	TYPE OF INSURANCE	ADDITIONAL INSURED	SUBROGATION	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COM/OP AGG
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)
	UMBRELLA LIAB EXCESS LIAB <input type="checkbox"/> DED <input type="checkbox"/> RETENTION <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE						EACH OCCURRENCE AGGREGATE
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR / PARTNER / EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT E.L. DISEASE-EA EMPLOYEE E.L. DISEASE-POLICY LIMIT
A	Env Site Liab	Y		PPLG28206124001 SIR applies per policy terms & conditions	12/15/2016	12/15/2019	Per Occurrence Aggregate \$2,000,000 \$2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: RFP #18-041, Residential Refuse Waste and Recycling Collection Program. The City, its officers, officials, employees and volunteers are included as Additional Insured in accordance with the policy provisions of the Pollution Liability policy. Pollution coverage is written on a Claims-Made basis; Retroactive Date: FULL RETRO.

CERTIFICATE HOLDER**CANCELLATION**

City of Naperville 400 South Eagle Street Naperville IL 60540 USA	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE <i>Aon Risk Services Southwest, Inc.</i>
---	---

Holder Identifier :

Certificate No : 570073970416

POLICY NUMBER: HDO G71094777

Endorsement Number: 24

COMMERCIAL GENERAL LIABILITY
CG 20 01 04 13

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**PRIMARY AND NONCONTRIBUTORY –
OTHER INSURANCE CONDITION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PARTThe following is added to the **Other Insurance** Condition and supersedes any provision to the contrary:**Primary And Noncontributory Insurance**

This insurance is primary to and will not seek contribution from any other insurance available to an additional insured under your policy provided that:

- (1) The additional insured is a Named Insured under such other insurance; and

- (2) You have agreed in writing in a contract or agreement that this insurance would be primary and would not seek contribution from any other insurance available to the additional insured.

POLICY NUMBER: HDO G71094777

Endorsement Number: 17

**COMMERCIAL GENERAL LIABILITY
CG 20 10 04 13**

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**ADDITIONAL INSURED – OWNERS, LESSEES OR
CONTRACTORS – SCHEDULED PERSON OR
ORGANIZATION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)	Location(s) Of Covered Operations
Any Owner, Lessee or Contractor whom you have agreed to include as an additional insured under a written contract, provided such contract was executed prior to the date of loss.	All locations where you are performing ongoing operations for such additional insured pursuant to any such written contract.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

C. With respect to the insurance afforded to these additional insureds, the following is added to **Section III – Limits Of Insurance:**

If coverage provided to the additional insured is required by a contract or agreement, the most we

will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable Limits of Insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

POLICY NUMBER: HDO G71094777

Endorsement Number: 20

COMMERCIAL GENERAL LIABILITY
CG 20 37 04 13**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****ADDITIONAL INSURED – OWNERS, LESSEES OR
CONTRACTORS – COMPLETED OPERATIONS**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART**SCHEDULE**

Name Of Additional Insured Person(s) Or Organization(s)	Location And Description Of Completed Operations
Any person or organization whom you have agreed to include as an additional insured under a written contract, provided such contract was executed prior to the date of loss.	All locations where you perform work for such additional insured pursuant to any such written contract.
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
 2. Available under the applicable Limits of Insurance shown in the Declarations;
- whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

ADDITIONAL INSURED – DESIGNATED PERSONS OR ORGANIZATIONS

Named Insured Waste Connections US Holdings, Inc.			Endorsement Number 1
Policy Symbol ISA	Policy Number H25159159	Policy Period 08/01/2018 TO 08/01/2019	Effective Date of Endorsement
Issued By (Name of Insurance Company) ACE American Insurance Company			

Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the following:

**BUSINESS AUTO COVERAGE FORM
AUTO DEALERS COVERAGE FORM
MOTOR CARRIER COVERAGE FORM
EXCESS BUSINESS AUTO COVERAGE FORM**

Additional Insured(s): Any person or organization whom you have agreed to include as an additional insured under a written contract, provided such contract was executed prior to the date of loss.

- A. For a covered "auto," Who Is Insured is amended to include as an "insured," the persons or organizations named in this endorsement. However, these persons or organizations are an "insured" only for "bodily injury" or "property damage" resulting from acts or omissions of:
1. You.
 2. Any of your "employees" or agents.
 3. Any person operating a covered "auto" with permission from you, any of your "employees" or agents.
- B. The persons or organizations named in this endorsement are not liable for payment of your premium.

Authorized Representative

Workers' Compensation and Employers' Liability Policy

Named Insured WASTE CONNECTIONS US HOLDINGS, INC. 3 WATERWAY SQUARE PLACE SUITE 110 THE WOODLANDS TX 77380		Endorsement Number
Policy Period 08-01-2018 TO 08-01-2019		Policy Number Symbol: WLR Number: C65226303
Issued By (Name of Insurance Company) INDEMNITY INS. CO. OF NORTH AMERICA		Effective Date of Endorsement 08-01-2018
Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy.		

WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.

This agreement shall not operate directly or indirectly to benefit any one not named in the Schedule.

Schedule

ANY PERSON OR ORGANIZATION AGAINST WHOM YOU HAVE AGREED TO WAIVE YOUR RIGHT OF RECOVERY IN A WRITTEN CONTRACT, PROVIDED SUCH CONTRACT WAS EXECUTED PRIOR TO THE DATE OF LOSS.

For the states of CA, UT, TX, refer to state specific endorsements.
This endorsement is not applicable in KY, NH, and NJ.

The endorsement does not apply to policies in Missouri where the employer is in the construction group of code classifications. According to Section 287.150(6) of the Missouri statutes, a contractual provision purporting to waive subrogation rights against public policy and void where one party to the contract is an employer in the construction group of code classifications.

For Kansas, use of this endorsement is limited by the Kansas Fairness in Private Construction Contract Act(K.S.A., 16-1801 through 16-1807 and any amendments thereto) and the Kansas Fairness in Public Construction Contract Act(K.S.A 16-1901 through 16-1908 and any amendments thereto). According to the Acts a provision in a contract for private or public construction purporting to waive subrogation rights for losses or claims covered or paid by liability or workers compensation insurance shall be against public policy and shall be void and unenforceable except that, subject to the Acts, a contract may require waiver of subrogation for losses or claims paid by a consolidated or wrap-up insurance program.



Authorized Representative

COMMERCIAL AUTO
CA 99 48 10 13

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**POLLUTION LIABILITY – BROADENED COVERAGE
FOR COVERED AUTOS – BUSINESS AUTO AND
MOTOR CARRIER COVERAGE FORMS**

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

A. Covered Autos Liability Coverage is changed as follows:

1. Paragraph **a.** of the **Pollution** Exclusion applies only to liability assumed under a contract or agreement.
2. With respect to the coverage afforded by Paragraph **A.1.** above, Exclusion **B.6. Care, Custody Or Control** does not apply.

B. Changes In DefinitionsFor the purposes of this endorsement, Paragraph **D.** of the **Definitions** Section is replaced by the following:

- D.** "Covered pollution cost or expense" means any cost or expense arising out of:
1. Any request, demand, order or statutory or regulatory requirement that any "insured" or others test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of "pollutants"; or
 2. Any claim or "suit" by or on behalf of a governmental authority for damages because of testing for, monitoring, cleaning up, removing, containing, treating, detoxifying or neutralizing, or in any way responding to or assessing the effects of "pollutants".

"Covered pollution cost or expense" does not include any cost or expense arising out of the actual, alleged or threatened discharge, dispersal, seepage, migration, release or escape of "pollutants":

- a. Before the "pollutants" or any property in which the "pollutants" are contained are moved from the place where they are accepted by the "insured" for movement into or onto the covered "auto"; or
- b. After the "pollutants" or any property in which the "pollutants" are contained are moved from the covered "auto" to the place where they are finally delivered, disposed of or abandoned by the "insured".

Paragraphs **a.** and **b.** above do not apply to "accidents" that occur away from premises owned by or rented to an "insured" with respect to "pollutants" not in or upon a covered "auto" if:

- (1) The "pollutants" or any property in which the "pollutants" are contained are upset, overturned or damaged as a result of the maintenance or use of a covered "auto"; and
- (2) The discharge, dispersal, seepage, migration, release or escape of the "pollutants" is caused directly by such upset, overturn or damage.